

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Statement as on: 30th September, 2013

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(` in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	85,847.50
2	Loans	9	-
3	Fixed Assets	10	1,159.52
4	Deferred Tax Assets		1,025.00
5	Current Assets		-
	a. Cash & Bank Balance	11	201.86
	b. Advances & Other Assets	12	18,284.48
6	Current Liabilities		-
	a. Current Liabilities	13	57,585.37
	b. Provisions	14	25,537.59
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		20,021.49

Application of Funds as per Balance Sheet (A) 43,416.89

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,159.52
3	Deferred Tax Assets		1,025.00
4	Cash & Bank Balance (if any)	11	201.86
5	Advances & Other Assets (if any)	12	18,284.48
6	Current Liabilities	13	57,585.37
7	Provisions	14	25,537.59
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		20,021.49

TOTAL (B) (42,430.61)

'Investment Assets' As per FORM 3B (A-B) 85,847.50

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(` In Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							
1	G. Sec.	Not less than 20%	9,703.07	2,441.57	17,287.78	29,432.42	34.22	-	29,432.42	27,266.40	
2	G. Sec or Other Approved Sec. (incl. (1) above)	Not less than 30%	9,703.07	2,441.57	21,180.87	33,325.51	38.75	-	33,325.51	31,033.15	
3	Investment subject to Exposure Norms		5,300.88	5,550.90	41,832.91	52,684.68	61.25	(162.96)	52,521.73	51,839.64	
	1. Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	3,879.35	3,546.08	16,557.12	23,982.56	27.88	(62.14)	23,920.41	23,408.45	
	2. Approved Investments	Not exceeding 55%	1,320.67	2,004.82	25,275.79	28,601.27	33.25	(95.61)	28,505.66	28,335.54	
	3. Other Investments (not exceeding 25%)		100.86	-	-	100.86	0.12	(5.20)	95.66	95.66	
Total Investment Assets			100%	15,003.94	7,992.47	63,013.78	86,010.19	100.00	(162.96)	85,847.23	82,872.79

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____
Full name:
Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)