

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Statement as on: 31st December 2014

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(` in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	1,02,278.09
2	Loans	9	-
3	Fixed Assets	10	1,495.89
4	Deferred Tax Assets		1,022.27
5	<b>Current Assets</b>		-
	a. Cash & Bank Balance	11	2,161.82
	b. Advances & Other Assets	12	26,818.72
6	<b>Current Liabilities</b>		-
	a. Current Liabilities	13	79,031.84
	b. Provisions	14	28,327.74
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		17,533.27
<b>Application of Funds as per Balance Sheet (A)</b>			<b>43,950.48</b>

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,495.89
3	Deferred Tax Assets		1,022.27
4	Cash & Bank Balance (if any)	11	2,161.82
5	Advances & Other Assets (if any)	12	26,818.72
6	Current Liabilities	13	79,031.84
7	Provisions	14	28,327.74
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		17,533.27

**TOTAL (B) (58,327.61)**

**'Investment Assets' As per FORM 3B**

**(A-B) 1,02,278.09**

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM*						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	10,681.40	24,558.24	35,239.64	34.58	-	35,239.64	35,051.79
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	10,681.40	28,452.24	39,133.63	38.40	-	39,133.63	39,065.93
3	<b>Investment subject to Exposure Norms</b>		-	<b>14,859.35</b>	<b>47,914.63</b>	<b>62,773.98</b>	<b>61.60</b>	<b>370.48</b>	<b>63,144.46</b>	<b>63,530.60</b>
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	-	3,991.98	10,091.31	14,083.29	13.82	-	14,083.29	14,230.51
	2. Infrastructure Investments	Not less than 10%	-	4,486.54	14,515.11	19,001.65	18.65	-	19,001.65	19,132.38
	3. Approved Investments	Not exceeding 55%	-	6,380.82	23,308.21	29,689.04	29.13	370.48	30,059.52	30,167.70
	4. Other Investments (not exceeding 25%)	-	-	-	-	-	-	-	-	-
<b>Total Investment Assets</b>		<b>100%</b>	-	<b>25,540.75</b>	<b>76,366.86</b>	<b>1,01,907.61</b>	<b>100.00</b>	<b>370.48</b>	<b>1,02,278.09</b>	<b>1,02,596.53</b>

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\*) Pattern of Investment will apply only to SH funds representing FRMS

(<sup>A</sup>) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)