

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sampo General Insurance Company Limited; 134

Date : As on March 31, 2016

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

| No | PARTICULARS | SCH | AMOUNT |
|----|------------------------------|-----|------------|
| 1 | Investments | 8 | 108,636.96 |
| 2 | Loans | 9 | - |
| 3 | Fixed Assets | 10 | 2,531.36 |
| 4 | Deferred Tax Assets | | 314.08 |
| 5 | Current Assets | | - |
| | a. Cash & Bank Balance | 11 | 8,407.77 |
| | b. Advances & Other Assets | 12 | 29,674.00 |
| 6 | Current Liabilities | | - |
| | a. Current Liabilities | 13 | 84,394.07 |
| | b. Provisions | 14 | 34,964.21 |
| | c. Misc. Exp not Written Off | 15 | - |
| | d. Debit Balance of P&L A/c | | 13,122.80 |

Application of Funds as per Balance Sheet (A)

43,328.69

| | Less: Other Assets | SCH | AMOUNT |
|---|----------------------------------|-----|-----------|
| 1 | Loans (if any) | 9 | - |
| 2 | Fixed Assets (if any) | 10 | 2,531.36 |
| 3 | Deferred Tax Assets | | 314.08 |
| 4 | Cash & Bank Balance (if any) | 11 | 8,407.77 |
| 5 | Advances & Other Assets (if any) | 12 | 29,674.00 |
| 6 | Current Liabilities | 13 | 84,394.07 |
| 7 | Provisions | 14 | 34,964.21 |
| 8 | Misc. Exp not Written Off | 15 | - |
| 9 | Debit Balance of P&L A/c | | 13,122.80 |

TOTAL (B) (65,308.27)

'Investment Assets' As per FORM 3B

(A-B) 108,636.96

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(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

| No | 'Investment' represented as | Reg. % | SH | | PH | Book Value (SH + PH) | % Actual | FVC Amount | Total | Market Value | |
|--------------------------------|---|-------------------|-------------|-------------------|------------------|-------------------------|-------------------|-----------------|------------------|-------------------|-------------------|
| | | | Balance | FRSM ⁺ | | | | | | | |
| | | | (a) | (b) | | | | | | | |
| 1 | G. Sec. | Not less than 20% | - | 10,591.20 | 28,060.17 | 38,651.37 | 35.50 | - | 38,651.37 | 38,742.63 | |
| 2 | G. Sec or Other Apporved Sec. (incl. (1) above) | Not less than 30% | - | 10,591.20 | 31,955.07 | 42,546.27 | 39.07 | - | 42,546.27 | 42,804.46 | |
| 3 | Investment subject to Exposure Norms | | - | 16,843.44 | 49,498.56 | 66,342.01 | 60.93 | (251.32) | 66,090.69 | 66,580.79 | |
| | 1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments | Not less than 15% | - | 3,500.01 | 11,084.10 | 14,584.11 | 13.39 | - | 14,584.11 | 14,640.83 | |
| | 2. Infrastructure Investments | Not less than 10% | - | 6,937.02 | 22,748.84 | 29,685.86 | 27.26 | - | 29,685.86 | 29,996.21 | |
| | 3. Approved Investments | Not exceeding 55% | - | 6,406.41 | 15,665.62 | 22,072.04 | 20.27 | (251.32) | 21,820.72 | 21,943.75 | |
| | 4. Other Investments (not exceeding 25%) | - | - | - | - | - | - | - | - | - | |
| Total Investment Assets | | | 100% | - | 27,434.64 | 81,453.63 | 108,888.27 | 100.00 | (251.32) | 108,636.96 | 109,385.25 |

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^A) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)