

FORM NL-36-YIELD ON INVESTMENT

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: September 30, 2016

Name of the Fund : UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year						
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	40,563.16	38,374.93	915.90	8.96	8.96	39,455.43	38,374.93	1,684.65	8.52	8.52	38,018.22	38,742.63	2,987.79	7.86	7.86
		CTRB	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARANTEED SEC.	CDSS	-	-	-	-	-	-	-	-	-	1,017.46	-	28.57	2.81	2.81	
		SGOA	399.63	411.51	8.31	8.25	8.25	399.63	411.51	16.63	8.30	8.30	399.65	409.55	33.25	8.32	8.32
		SGGB	3,996.40	4,186.56	87.82	8.72	8.72	3,886.84	4,186.56	171.08	8.78	8.78	3,168.11	3,652.28	282.66	8.92	8.92
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	15,740.66	14,673.43	348.59	8.79	8.79	15,116.25	14,673.43	666.97	8.80	8.80	14,066.92	14,640.83	1,310.70	9.32	9.32
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS																
	Infrastructure - PSU - Equity shares - Quoted	ITPE	313.66	552.18	33.01	41.76	41.76	318.50	552.18	32.65	20.45	20.45	-	-	-	-	-
	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	15,830.96	16,537.84	380.71	9.54	9.54	16,169.37	16,537.84	737.60	9.10	9.10	16,674.45	17,019.49	1,446.56	8.68	8.68
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	7,866.63	7,032.10	171.94	8.67	8.67	7,068.92	7,032.10	307.05	8.66	8.66	3,445.51	6,025.11	260.47	7.56	7.56
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	6,807.26	6,533.11	154.00	8.98	8.98	6,693.78	6,533.11	302.66	9.02	9.02	7,441.44	6,020.85	689.50	9.27	9.27
	Infrastructure - Other Corporate Securities - CPs	ICCP	961.87	951.35	20.82	8.59	8.59	951.58	951.35	41.41	8.68	8.68	924.08	930.76	13.12	1.42	1.42
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	-	-	-	-	-	122.10	-	13.80	22.54	22.54	144.41	124.34	27.76	19.22	19.22
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	2,114.06	3,045.54	98.60	18.50	18.50	2,712.05	3,045.54	161.31	11.86	11.86	3,489.09	124.34	316.63	9.07	9.07
	Corporate Securities - Bond Taxable	EPBT	1,492.79	1,517.60	39.16	10.41	10.41	1,498.36	1,517.60	71.18	9.48	9.48	1,504.89	1,516.67	128.96	8.57	8.57
	Corporate Securities - (Approved Investment) - Debentures	ECOS	13,409.99	11,357.43	309.48	9.16	9.16	11,755.60	11,357.43	543.68	9.22	9.22	6,826.77	7,315.07	676.31	9.91	9.91
	Commercial Papers - Approved Investment	ECCP	5,182.12	5,819.53	106.29	8.14	8.14	5,811.77	5,819.53	238.98	8.20	8.20	2,327.49	6,686.84	195.48	8.40	8.40
	Deposits - Deposit with Scheduled Banks	ECDB	4,781.09	4,279.00	71.89	5.97	5.97	4,216.63	4,279.00	148.22	7.01	7.01	12,215.62	2,877.00	1,200.47	9.83	9.83
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	2,306.03	1,153.32	58.01	9.98	9.98	1,826.87	1,153.32	87.19	9.52	9.52	1,163.12	901.18	92.97	7.99	7.99
6	OTHER INVESTMENTS																
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	-	-	-	-	185.29	-	(53.10)	(28.66)	(28.66)	
	Mutual Funds - Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	559.52	301.25	1.59	1.13	1.13	813.88	301.25	16.75	4.11	4.11	1,097.78	-	7.72	0.70	0.70
TOTAL			122,325.83	116,726.68	2,806.11			118,817.56	116,726.68	5,241.78			114,110.30	106,986.94	9,645.81		

Date:

Signature: _____

Full name: _____

Designation: _____

Note: Category of Investment (COI) shall be as per Guidelines¹ To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments² Yield netted for Tax³ FORM-1 shall be prepared in respect of each fund.