

FORM NL-28-STATEMENT OF ASSETS - 3B

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: 30th June 2010

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

Rs. In Lakhs

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	28,530.80
2	Loans	9	-
3	Fixed Assets	10	2,410.84
4	Current Assets		
	a. Cash & Bank Balance	11	718.24
	b. Advances & Other Assets	12	4,195.28
5	Current Liabilities		
	a. Current Liabilities	13	9,604.09
	b. Provisions	14	11,351.25
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		

Application of Funds as per Balance Sheet (A)

14,899.82

	Less: Other Assets	SCH	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,410.84
3	Cash & Bank Balance (if any)	11	718.24
4	Advances & Other Assets (if any)	12	4,195.28
5	Current Liabilities	13	9,604.09
6	Provisions	14	11,351.25
7	Misc. Exp not Written Off	15	
8	Debit Balance of P&L A/c		

TOTAL (B) (13,630.98)

'Investment Assets' As per FORM 3B

(A-B) 28,530.80

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM ⁺						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	2,475.09	8,480.43	10,955.52	38.30	-	10,955.52	10,095.85
2	G. Sec or Other Approved Sec. (incl. (1) above)	Not less than 30%	-	2,475.09	10,883.83	13,358.92	46.70	-	13,358.92	12,531.85
3	Investment subject to Exposure Norms		6,131.57	5,045.21	4,068.60	15,245.38	53.30	(73.50)	15,171.88	15,288.58
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	1,597.49	5,045.21	3,063.69	9,706.39	33.93	-	9,706.39	9,845.51
	2. Approved Investments	Not exceeding 55%	4,084.53	-	1,004.91	5,089.44	17.79	(73.65)	5,015.79	4,993.37
	3. Other Investments (not exceeding 25%)		449.55	-	-	449.55	1.57	0.15	449.70	449.70
Total Investment Assets		100%	6,131.57	7,520.30	14,952.44	28,604.30	100.00	(73.50)	28,530.81	27,820.43

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^A) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)