

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: 30th Sept 2010

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

Rs. In Lakhs

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	29,350.18
2	Loans	9	-
3	Fixed Assets	10	2,343.56
4	Current Assets		
	a. Cash & Bank Balance	11	787.74
	b. Advances & Other Assets	12	4,652.48
5	Current Liabilities		
	a. Current Liabilities	13	10,986.60
	b. Provisions	14	11,996.89
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		

Application of Funds as per Balance Sheet (A)**14,150.47**

	Less: Other Assets	SCH	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,343.56
3	Cash & Bank Balance (if any)	11	787.74
4	Advances & Other Assets (if any)	12	4,652.48
5	Current Liabilities	13	10,986.60
6	Provisions	14	11,996.89
7	Misc. Exp not Written Off	15	-
8	Debit Balance of P&L A/c		

TOTAL (B) (15,199.71)**'Investment Assets' As per FORM 3B****(A-B) 29,350.18**

FORM NL-28-STATEMENT OF ASSETS - 3B

Company Name & Code: Universal Sampo General Insurance Company Limited ; 134

Statement as on: 30th Sept 2010

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

Rs. In Lakhs

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	%	Actual	FVC Amount	Total	Market Value
			Balance	FRSM*							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	2,476.33	8,448.83	10,925.16	37.18	-	10,925.16	9,912.25	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	2,476.33	10,851.40	13,327.73	45.35	-	13,327.73	12,314.65	
3	Investment subject to Exposure Norms		5,853.59	5,041.43	5,165.09	16,060.10	54.65	(37.65)	16,022.45	16,121.69	
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	1,997.62	5,041.43	3,657.91	10,696.96	36.40	-	10,696.96	10,817.43	
	2. Approved Investments	Not exceeding 55%	3,505.05	-	1,507.17	5,012.22	17.06	(38.93)	4,973.29	4,952.07	
	3. Other Investments (not exceeding 25%)		350.91	-	-	350.91	1.19	1.28	352.19	352.19	
Total Investment Assets		100%	5,853.59	7,517.75	16,016.49	29,387.83	100.00	(37.65)	29,350.18	28,436.34	

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)