

FORM NL-28-STATEMENT OF ASSETS - 3B

Company Name & Code: Universal Sampo General Insurance Company Limited ; 134

Date : As on 30th June 2011

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

Rs. In Lakhs

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	34,719.86
2	Loans	9	-
3	Fixed Assets	10	2,330.49
4	Current Assets		
	a. Cash & Bank Balance	11	398.00
	b. Advances & Other Assets	12	6,924.03
5	Current Liabilities		
	a. Current Liabilities	13	21,871.31
	b. Provisions	14	13,135.39
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		

Application of Funds as per Balance Sheet (A) 9,365.68

	Less: Other Assets	SCH	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,330.49
3	Cash & Bank Balance (if any)	11	398.00
4	Advances & Other Assets (if any)	12	6,924.03
5	Current Liabilities	13	21,871.31
6	Provisions	14	13,135.39
7	Misc. Exp not Written Off	15	-
8	Debit Balance of P&L A/c		

TOTAL (B) (25,354.18)

'Investment Assets' As per FORM 3B **(A-B)** 34,719.86

FORM NL-28-STATEMENT OF ASSETS - 3B

Company Name & Code: Universal Sampo General Insurance Company Limited ; 134

Date : As on 30th June 2011

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

Rs. In Lakhs

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	%	Actual	FVC Amount	Total	Market Value
			Balance	FRSM ⁺							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	2,430.75	8,404.29	10,835.04	31.05	-	10,835.04	9,738.75	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	2,430.75	10,005.66	12,436.41	35.64	-	12,436.41	11,324.67	
3	Investment subject to Exposure Norms		3,001.04	5,086.99	14,373.48	22,461.51	64.36	(178.06)	22,283.46	21,662.30	
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	1,279.13	5,086.99	6,146.04	12,512.15	35.85	(29.02)	12,483.13	12,021.41	
	2. Approved Investments	Not exceeding 55%	1,578.60	-	8,227.44	9,806.04	28.10	(147.25)	9,658.79	9,499.35	
	3. Other Investments (not exceeding 25%)		143.32	-	-	143.32	0.41	(1.78)	141.54	141.54	
Total Investment Assets			100%	3,001.04	7,517.74	24,379.13	34,897.92	100.00	(178.06)	34,719.86	32,986.97

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

([^]) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)