

FORM NL-28-STATEMENT OF ASSETS - 3B

Company Name & Code: Universal Sampo General Insurance Company Limited ; 134

Statement as on: 30th September 2012

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	67,843.36
2	Loans	9	-
3	Fixed Assets	10	1,377.96
4	Current Assets		
	a. Cash & Bank Balance	11	1,118.32
	b. Advances & Other Assets	12	15,353.39
5	Current Liabilities		
	a. Current Liabilities	13	40,417.16
	b. Provisions	14	22,501.72
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		20,351.80

Application of Funds as per Balance Sheet (A)

43,125.95

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,377.96
3	Cash & Bank Balance (if any)	11	1,118.32
4	Advances & Other Assets (if any)	12	15,353.39
5	Current Liabilities	13	40,417.16
6	Provisions	14	22,501.72
7	Misc. Exp not Written Off	15	-
8	Debit Balance of P&L A/c		20,351.80

TOTAL (B) (24,717.41)

'Investment Assets' As per FORM 3B

(A-B) 67,843.36

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							(c)
1	G. Sec.	Not less than 20%	10,030.92	2,436.77	8,247.11	20,714.80	30.48	-	20,714.80	19,905.28	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	10,030.92	2,436.77	11,641.18	24,108.87	35.48	-	24,108.87	23,369.08	
3	Investment subject to Exposure Norms		6,428.11	5,079.55	32,339.13	43,846.79	64.52	(112.30)	43,734.49	43,563.40	
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	3,487.96	3,073.81	12,078.87	18,640.65	27.43	(36.26)	18,604.39	18,504.21	
	2. Approved Investments	Not exceeding 55%	2,877.10	2,005.73	20,260.26	25,143.09	37.00	(60.77)	25,082.32	25,011.40	
	3. Other Investments (not exceeding 25%)		63.05	-	-	63.05	0.09	(15.27)	47.78	47.78	
Total Investment Assets			100%	16,459.03	7,516.32	43,980.32	67,955.66	100.00	(112.30)	67,843.36	66,932.48

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

([^]) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)