

Company Name &amp; Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: 30th September 2015

Name of the Fund : UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Year				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	35,891.31	35,173.58	711.51	7.86	7.86	36,049.96	35,173.58	1,445.16	8.00	8.00	35,046.79	35,326.01	2,955.65	8.43	8.43
		CTRB	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARANTEED SEC.	CDSS	1,017.12	-	6.58	2.57	2.57	1,017.46	-	28.57	5.60	5.60	1,019.24	1,047.50	87.95	8.63	8.63
		SGOA	399.58	410.63	8.31	8.25	8.25	399.58	410.63	16.63	8.30	8.30	399.54	406.15	33.25	8.32	8.32
		SGBB	3,196.36	3,646.68	71.23	8.84	8.84	2,838.71	3,646.68	127.05	8.93	8.93	2,475.03	2,592.62	223.28	9.02	9.02
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	13,168.15	13,196.06	311.22	9.38	9.38	13,545.33	13,196.06	637.23	9.38	9.38	13,421.64	13,226.71	1,282.61	9.56	9.56
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	-	31.58	-	20.92	66.24	66.24	
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	23.34	-	6.55	28.05	28.05	
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	16,794.10	16,989.94	365.89	8.64	8.64	16,573.45	16,989.94	718.68	8.65	8.65	11,334.06	13,712.85	1,003.28	8.85	8.85
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	IDDF	1,799.60	3,530.28	39.68	8.75	8.75	1,524.17	3,530.28	48.88	6.40	6.40	-	-	-	-	-
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS	ICTD	7,840.15	7,552.24	183.28	9.27	9.27	7,985.92	7,552.24	373.17	9.32	9.32	7,230.56	8,555.98	682.40	9.44	9.44
	PSU (Approved Investment) - Equity Shares - Quoted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EAEQ	-	-	-	-	-	149.08	-	27.76	37.13	37.13	188.65	158.39	47.51	25.18	25.18
	Corporate Securities - Bond Taxable	EACE	3,503.29	3,619.55	116.73	13.22	13.22	3,748.35	3,619.55	240.11	12.78	12.78	2,126.82	3,503.42	509.45	23.95	23.95
	Corporate Securities - (Approved Investment) - Debentures	EPBT	1,504.90	1,523.03	32.43	8.55	8.55	1,505.05	1,523.03	64.53	8.55	8.55	1,961.36	1,521.55	173.70	8.86	8.86
	Commercial Papers - Approved Investment	ECOS	6,972.82	7,347.00	167.37	9.52	9.52	6,348.65	7,347.00	303.96	9.55	9.55	5,502.09	4,629.95	550.36	10.00	10.00
	Deposits - Deposit with Scheduled Banks	ECCP	477.45	482.78	10.78	8.96	8.96	472.12	482.78	21.44	9.06	9.06	44.66	461.34	3.98	8.92	8.92
	Deposits - CDs with Scheduled Banks	ECDB	15,728.13	14,564.00	389.29	9.82	9.82	16,707.17	14,564.00	819.62	9.78	9.78	19,079.73	18,064.00	1,939.29	10.16	10.16
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	566.74	-	9.04	6.33	6.33	809.23	-	35.64	8.79	8.79	784.86	1,004.09	53.56	6.82	6.82
6	OTHER INVESTMENTS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Other Investments - Equity Shares (Incl. Co - op Societies)	OESH	185.29	149.50	0.60	1.28	1.28	185.29	149.50	0.60	0.65	0.65	17.81	-	(3.07)	(17.23)	(17.23)
	Mutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	-	-	-	-	282.73	-	13.18	4.66	4.66	
<b>TOTAL</b>			<b>109,044.98</b>	<b>108,185.26</b>	<b>2,423.94</b>			<b>109,859.51</b>	<b>108,185.26</b>	<b>4,909.02</b>	<b>5.96</b>		<b>100,970.49</b>	<b>104,210.55</b>	<b>9,583.85</b>		

Date:

Signature: \_\_\_\_\_

Note: Category of Investment (COI) shall be as per Guidelines

Full name: \_\_\_\_\_

<sup>1</sup> To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments<sup>2</sup> Yield netted for Tax<sup>3</sup> FORM-1 shall be prepared in respect of each fund.

Designation: \_\_\_\_\_