

**FORM NL-37-DOWN GRADING OF INVESTMENT-2**

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: 31st March 2010

Name of the Fund : **INVESTMENT ASSETS (PH FUND AND SH FUND)**

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<b><u>During the Quarter</u></b> <sup>1</sup>								
1	8.55% PNB HFL - 09-Nov-2014	HTDN	500.00	09-Nov-2009	CRISIL	AA+	AA	10-Feb-2010	
<b>B.</b>	<b><u>As on Date</u></b> <sup>2</sup>								
1	8.55% PNB HFL - 09-Nov-2014	HTDN	500.00	09-Nov-2009	CRISIL	AA+	AA	10-Feb-2010	

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature \_\_\_\_\_

Full Name and Designation

Date:

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04