

FORM NL-28-STATEMENT OF ASSETS - 3B

Company Name & Code: Universal Sampo General Insurance Company Limited ; 134

Date : As on 30th Sept 2011

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	34,138.14
2	Loans	9	-
3	Fixed Assets	10	2,099.46
4	Current Assets		
	a. Cash & Bank Balance	11	2,168.36
	b. Advances & Other Assets	12	8,159.73
5	Current Liabilities		
	a. Current Liabilities	13	23,987.52
	b. Provisions	14	14,290.89
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		14,769.84

Application of Funds as per Balance Sheet (A) 23,057.12

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,099.46
3	Cash & Bank Balance (if any)	11	2,168.36
4	Advances & Other Assets (if any)	12	8,159.73
5	Current Liabilities	13	23,987.52
6	Provisions	14	14,290.89
7	Misc. Exp not Written Off	15	-
8	Debit Balance of P&L A/c		14,769.84

TOTAL (B) (11,081.02)

'Investment Assets' As per FORM 3B **(A-B)** 34,138.14

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	%	Actual	FVC Amount	Total	Market Value
			Balance	FRSM ⁺							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	2,431.96	8,372.71	10,804.68	31.39	-	10,804.68	9,722.03	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	2,431.96	9,973.22	12,405.18	36.04	-	12,405.18	11,306.45	
3	Investment subject to Exposure Norms		1,598.52	5,085.49	15,335.64	22,019.65	63.96	(286.69)	21,732.96	21,117.33	
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	198.04	5,085.49	7,258.19	12,541.72	36.43	(45.51)	12,496.21	12,036.27	
	2. Approved Investments	Not exceeding 55%	1,257.93	-	8,077.44	9,335.37	27.12	(224.72)	9,110.65	8,954.96	
	3. Other Investments (not exceeding 25%)		142.56	-	-	142.56	0.41	(16.46)	126.09	126.09	
Total Investment Assets			100%	1,598.52	7,517.45	25,308.86	34,424.83	100.00	(286.69)	34,138.14	32,423.78

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

([^]) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)