

FORM NL-28-STATEMENT OF ASSETS - 3B

Company Name & Code: Universal Sampo General Insurance Company Limited ; 134

Date : As on 31st Dec 2011

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	39,384.38
2	Loans	9	-
3	Fixed Assets	10	1,936.10
4	Current Assets		
	a. Cash & Bank Balance	11	1,228.31
	b. Advances & Other Assets	12	7,449.02
5	Current Liabilities		
	a. Current Liabilities	13	27,037.91
	b. Provisions	14	15,902.90
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		16,043.48

Application of Funds as per Balance Sheet (A)

23,100.48

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,936.10
3	Cash & Bank Balance (if any)	11	1,228.31
4	Advances & Other Assets (if any)	12	7,449.02
5	Current Liabilities	13	27,037.91
6	Provisions	14	15,902.90
7	Misc. Exp not Written Off	15	-
8	Debit Balance of P&L A/c		16,043.48

TOTAL (B) (16,283.90)

'Investment Assets' As per FORM 3B

(A-B) 39,384.38

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	%	Actual	FVC Amount	Total	Market Value
			Balance	FRSM ⁺							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	2,433.17	8,341.14	10,774.31	27.08	-	10,774.31	9,703.32	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	2,433.17	9,940.79	12,373.96	31.10	-	12,373.96	11,288.01	
3	Investment subject to Exposure Norms		1,737.52	5,084.00	20,586.53	27,408.04	68.90	(397.62)	27,010.42	26,460.09	
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	197.58	3,077.58	7,827.93	11,103.09	27.91	(72.55)	11,030.55	10,665.41	
	2. Approved Investments	Not exceeding 55%	1,427.91	2,006.42	12,758.60	16,192.93	40.70	(323.04)	15,869.89	15,684.69	
	3. Other Investments (not exceeding 25%)		112.02	-	-	112.02	0.28	(2.04)	109.99	109.99	
Total Investment Assets			100%	1,737.52	7,517.17	30,527.32	39,782.01	100.00	(397.62)	39,384.38	37,748.10

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

([^]) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)