

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Statement as on: 31st December, 2013

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(` in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	87,586.37
2	Loans	9	-
3	Fixed Assets	10	1,190.18
4	Deferred Tax Assets		982.29
5	Current Assets		
	a. Cash & Bank Balance	11	542.83
	b. Advances & Other Assets	12	19,103.14
6	Current Liabilities		
	a. Current Liabilities	13	61,820.51
	b. Provisions	14	23,973.05
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		19,888.38

Application of Funds as per Balance Sheet (A) 43,499.65

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,190.18
3	Deferred Tax Assets		982.29
4	Cash & Bank Balance (if any)	11	542.83
5	Advances & Other Assets (if any)	12	19,103.14
6	Current Liabilities	13	61,820.51
7	Provisions	14	23,973.05
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		19,888.38

TOTAL (B) (44,086.72)

'Investment Assets' As per FORM 3B (A-B) 87,586.37

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(' In Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							
1	G. Sec.	Not less than 20%	8,678.65	2,442.78	18,269.50	29,390.93	33.53	-	29,390.93	27,416.50	
2	G. Sec or Other Approved Sec. (incl. (1) above)	Not less than 30%	8,678.65	2,442.78	22,162.77	33,284.20	37.97	-	33,284.20	31,197.59	
3	Investment subject to Exposure Norms		6,507.58	5,552.04	42,322.91	54,382.52	62.03	(80.35)	54,302.17	53,725.79	
	1. Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	5,442.86	3,547.45	16,542.33	25,532.64	29.12	(57.88)	25,474.76	25,045.67	
	2. Approved Investments	Not exceeding 55%	963.86	2,004.59	25,780.58	28,749.03	32.79	(18.74)	28,730.29	28,583.00	
	3. Other Investments (not exceeding 25%)		100.86	-	-	100.86	0.12	(3.73)	97.12	97.12	
Total Investment Assets			100%	15,186.23	7,994.82	64,485.68	87,666.72	100.00	(80.35)	87,586.37	84,923.38

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^) Book Value shall not include funds beyond Solvency Margin

Other Investments* are as permitted under Sec 27A(2) and 27B(3)