

**FORM NL-37-DOWN GRADING OF INVESTMENT-2**

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: 30th June, 2014

Name of the Fund : UNIVERSAL SOMPO

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<u>During the Quarter <sup>1</sup></u>								
	NA	NA	NA	NA	NA	NA	NA	NA	NA
<b>B.</b>	<u>As on Date <sup>2</sup></u>								
	NA	NA	NA	NA	NA	NA	NA	NA	NA

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature \_\_\_\_\_

Full Name and Designation

Date:

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04