

FORM NL-36-YIELD ON INVESTMENT

Company Name & Code: Universal Sampo General Insurance Company Limited ; 134

Statement as on: 30th September, 2014

Name of the Fund : UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Year				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	34,635.74	33,348.43	690.35	7.97	7.97	34,428.37	33,348.43	1,405.33	8.16	8.16	28,645.02	27,416.50	2,384.51	8.32	8.32
		CTRB	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC./OTHER GUARANTEED SEC.	CDSS	1,019.58	1,004.30	21.98	8.62	8.62	1,019.92	1,004.30	43.97	8.62	8.62	1,021.95	982.60	90.65	8.87	8.87
		SGOA	399.53	384.02	8.31	8.32	8.32	399.52	384.02	16.63	8.32	8.32	399.49	379.64	33.20	8.31	8.31
		SGGB	2,474.61	2,475.57	55.83	9.02	9.02	2,474.18	2,475.57	111.64	9.02	9.02	2,471.64	2,418.85	219.90	8.90	8.90
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	14,092.29	14,602.58	336.06	9.54	9.54	13,087.35	14,602.58	619.47	9.47	9.47	10,454.75	10,952.72	976.29	9.34	9.34
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS																
	Infrastructure - PSU - Equity shares - Quoted	ITPE	16.11	-	4.99	123.87	123.87	52.82	-	20.00	75.73	75.73	334.08	355.56	(38.57)	(11.54)	(11.54)
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	46.68	-	6.55	28.05	28.05	53.47	46.59	0.59	1.10	1.10
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	11,916.35	11,914.06	267.17	8.97	8.97	10,699.72	11,914.06	476.26	8.90	8.90	9,446.57	9,253.85	846.60	8.96	8.96
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	7,007.76	8,491.13	168.12	9.60	9.60	6,358.80	8,491.13	302.05	9.50	9.50	4,092.66	4,436.95	381.02	9.31	9.31
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	131.30	195.66	1.64	5.00	5.00	153.53	195.66	21.32	27.77	-	246.97	259.96	(35.97)	(14.56)	(14.56)
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	1,840.34	2,509.86	129.74	28.20	28.20	1,388.70	2,509.86	168.22	24.23	24.23	689.11	685.16	9.97	1.45	1.45
	Corporate Securities - Bond Taxable	EPBT	2,007.49	1,955.94	43.23	8.61	8.61	2,007.68	1,955.94	86.00	8.57	8.57	2,008.79	1,901.38	173.00	8.61	8.61
	Corporate Securities - (Approved Investment) - Debentures	ECOS	5,737.59	5,531.09	142.72	9.95	9.95	5,450.39	5,531.09	269.35	9.88	9.88	3,718.90	3,963.50	356.60	9.59	9.59
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	-	-	-	-	-	19.09	-	1.97	10.31	10.31
	Deposits - Deposit with Scheduled Banks	ECDB	18,641.48	17,698.00	483.84	10.38	10.38	19,265.89	17,698.00	984.12	10.22	10.22	22,057.78	21,773.00	2,146.26	9.73	9.73
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	480.47	-	13.10	10.90	10.90	1,023.54	-	30.75	6.01	6.01	388.25	-	44.62	11.49	11.49
6	OTHER INVESTMENTS																
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	28.00	-	1.60	11.41	-	125.99	97.13	(59.11)	(46.92)	(46.92)
	Mutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	57.07	-	1.78	12.47	12.47	556.75	-	12.85	4.62	4.62	123.22	-	12.30	9.98	9.98
TOTAL			100,457.70	100,110.64	2,368.86			98,441.86	100,110.64	4,576.08			86,297.74	84,923.39	7,543.83		

Date:

Signature: _____

Full name:

Designation:

Note: Category of Investment (COI) shall be as per Guidelines

¹ To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments

² Yield netted for Tax

³ FORM-1 shall be prepared in respect of each fund.