

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on December 31, 2016

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	20,157.31
	b. PolicyholdersFund	8A	118,962.10
2	Loans	9	-
3	Fixed Assets	10	2,962.75
4	Deferred Tax Assets		161.83
5	Current Assets		-
	a. Cash & Bank Balance	11	6,431.67
	b. Advances & Other Assets	12	9,906.94
6	Current Liabilities		-
	a. Current Liabilities	13	88,961.01
	b. Provisions	14	36,273.78
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		10,150.44
Application of Funds as per Balance Sheet (A)			43,498.26

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,962.75
3	Deferred Tax Assets		161.83
4	Cash & Bank Balance (if any)	11	6,431.67
5	Advances & Other Assets (if any)	12	9,906.94
6	Current Liabilities	13	88,961.01
7	Provisions	14	36,273.78
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		10,150.44

TOTAL (B) **(95,621.16)**

'Investment Assets' As per FORM 3B

(A-B) **139,119.42**

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Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							(c)
1	G. Sec.	Not less than 20%	-	9,639.51	37,313.27	46,952.78	33.73	-	46,952.78	48,407.84	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	10,644.71	40,224.08	50,868.78	36.54	-	50,868.78	52,594.24	
3	Investment subject to Exposure Norms		-	9,595.57	78,736.80	88,332.37	63.46	(81.74)	88,250.64	89,535.73	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	2,507.84	15,604.94	18,112.79	13.01	-	18,112.79	18,322.09	
	2. Infrastructure Investments	Not less than 10%	-	2,162.94	31,542.82	33,705.75	24.21	70.46	33,776.21	34,523.05	
	3. Approved Investments	Not exceeding 55%	-	4,924.80	31,589.04	36,513.84	26.23	(152.20)	36,361.64	36,690.60	
	4. Other Investments (not exceeding 25%)	-	-	-	-	-	-	-	-	-	
Total Investment Assets			100%	-	20,240.28	118,960.88	139,201.16	100.00	(81.74)	139,119.42	142,129.98

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name: _____

Designation: _____

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^A) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)