

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on September 30, 2017

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	24,668
	b. PolicyholdersFund	8A	132,992
2	Loans	9	-
3	Fixed Assets	10	3,592
4	Deferred Tax Assets		101
5	Current Assets		-
	a. Cash & Bank Balance	11	8,155
	b. Advances & Other Assets	12	43,814
6	Current Liabilities		-
	a. Current Liabilities	13	128,599
	b. Provisions	14	47,016
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		5,679
Application of Funds as per Balance Sheet (A)			43,385

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,592
3	Deferred Tax Assets		101
4	Cash & Bank Balance (if any)	11	8,155
5	Advances & Other Assets (if any)	12	43,814
6	Current Liabilities	13	128,599
7	Provisions	14	47,016
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		5,679

TOTAL (B) (114,275)

'Investment Assets' As per FORM 3B

(A-B) 157,660

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM ⁺						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	6,950	37,471	44,421	0	-	44,421	44,330
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	9,098	49,050	58,148	0	-	58,148	58,323
3	Investment subject to Exposure Norms		-	15,600	84,106	99,706	63	(195)	99,511	100,518
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	3,299	17,783	21,082	0	-	21,082	21,276
	2. Infrastructure Investments	Not less than 10%	-	6,150	33,154	39,304	0	-	39,304	39,828
	3. Approved Investments	Not exceeding 55%	-	5,980	32,239	38,218	0	(195)	38,023	38,293
	4. Other Investments (not exceeding 25%)	-	-	173	930	1,103	1	-	1,103	1,120
Total Investment Assets		100%	-	24,698	133,156	157,855	63	(195)	157,660	158,840

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^A) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)