

**FORM NL-37-DOWN GRADING OF INVESTMENT-2**

**Company Name & Code: Universal Sompo General Insurance Company Limited ; 134**

**Statement as on: March 31, 2018**

**Name of the Fund :**

**UNIVERSAL SOMPO**

**Statement of Down Graded Investments**

**Periodicity of Submission: Quarterly**

**(₹ in Lakhs)**

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<b><u>During the Quarter</u></b> <sup>1</sup>								
	10.70% Sintex Industries Ltd. - 30-Sep-2021 P/C 30092019	OLDB	101	25-Oct-2016	CARE	AA+	A-	04-Jan-2018	
<b>B.</b>	<b><u>As on Date</u></b> <sup>2</sup>								
<b>1</b>	10.70% Sintex Industries Ltd. - 30-Sep-2021 P/C 30092019	OLDB	101	25-Oct-2016	CARE	AA+	A-	04-Jan-2018	
<b>2</b>	8.60% Steel Authority of I - 19-Nov-2019	OBPT	501	16-Dec-2009	CARE,INDIA RA	AA	AA-	04-Aug-2017	No change in category
<b>3</b>	8.80% SAIL 2019 - Series-XX-20[I Series(AG)]	OBPT	501	13-Jul-2009	CRISIL,INDIA RA	AA	AA-	04-Aug-2017	No change in category
<b>3</b>	8.23% PNB HFL - 09-Apr-2019	HTDN	1000	09-Oct-2015	CARE,ICRA	AAA	AA+	42430	No change in category
<b>4</b>	8.6000% Steel Authority of I - 19-Nov-2019	EPBT	500.6892688	27-Nov-2009	CARE	AAA	AA+	42445	No change in category
<b>5</b>	8.8000% SAIL 2019 - Series-XX-20[I Series(AG)]	EPBT	501.542505	13-Jul-2009	CRISIL,CARE	AAA	AA+	42445	No change in category
<b>6</b>	8.6000% Steel Authority of I - 19-Nov-2019	EPBT	500.6892688	27-Nov-2009	CARE	AA+	AA	42735	No change in category
<b>7</b>	8.8000% SAIL 2019 - Series-XX-20[I Series(AG)]	EPBT	501.542505	13-Jul-2009	CRISIL,CARE	AA+	AA	42735	No change in category

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

**Signature** \_\_\_\_\_

**Full Name and Designation**

**Date:**

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.*
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*
- 3 FORM-2 shall be prepared in respect of each fund.*
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04*