

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

'Statement as on: June 30, 2018

Name of the Fund :

UNIVERSAL SOMPO

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
	10.70% Sintex Industries Ltd. - 30-Sep-2021 PIC 30092019	OLDB	101.00	25-Oct-2016	CARE	AA+	BBB	17-May-18	
B.	<u>As on Date</u> ²								
1	10.70% Sintex Industries Ltd. - 30-Sep-2021 PIC 30092019	OLDB	101.00	25-Oct-2016	CARE	AA+	BBB	17-May-18	
2	10.70% Sintex Industries Ltd. - 30-Sep-2021 PIC 30092019	OLDB	101.00	25-Oct-2016	CARE	AA+	A-	04-Jan-2018	
3	8.60% Steel Authority of I - 19-Nov-2019	OBPT	501.00	16-Dec-2009	CARE,INDIA RATINGS	AA	AA-	04-Aug-2017	No change in category
4	8.80% SAIL 2019 - Series-XX-20[I Series(AG)]	OBPT	501.00	13-Jul-2009	CRISIL,INDIA RATINGS	AA	AA-	04-Aug-2017	No change in category
5	8.23% PNB HFL - 09-Apr-2019	HTDN	1,000.00	09-Oct-2015	CARE,ICRA	AAA	AA+	01-Mar-16	No change in category
6	8.6000% Steel Authority of I - 19-Nov-2019	EPBT	500.69	27-Nov-2009	CARE	AAA	AA+	16-Mar-16	No change in category
7	8.8000% SAIL 2019 - Series-XX-20[I Series(AG)]	EPBT	501.54	13-Jul-2009	CRISIL,CARE	AAA	AA+	16-Mar-16	No change in category
8	8.6000% Steel Authority of I - 19-Nov-2019	EPBT	500.69	27-Nov-2009	CARE	AA+	AA	31-Dec-16	No change in category
9	8.8000% SAIL 2019 - Series-XX-20[I Series(AG)]	EPBT	501.54	13-Jul-2009	CRISIL,CARE	AA+	AA	31-Dec-16	No change in category

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature _____

Full Name and Designation

Date:

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04