

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on March 31,2019

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	39,520
	b. PolicyholdersFund	8A	1,94,879
2	Loans	9	-
3	Fixed Assets	10	3,986
4	Deferred Tax Liability		68
5	<b>Current Assets</b>		-
	a. Cash & Bank Balance	11	5,999
	b. Advances & Other Assets	12	1,01,217
6	<b>Current Liabilities</b>		-
	a. Current Liabilities	13	1,93,881
	b. Provisions	14	62,984
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-

**Application of Funds as per Balance Sheet (A) 88,803**

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,986
3	Deferred Tax Liability		68
4	Cash & Bank Balance (if any)	11	5,999
5	Advances & Other Assets (if any)	12	1,01,217
6	Current Liabilities	13	1,93,881
7	Provisions	14	62,984
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-

**TOTAL (B) (1,45,596)**

**'Investment Assets' As per FORM 3B (A-B) 2,34,399**

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 162.90 Lakhs in Shareholders Fund & Rs 362.10 Lakhs in Policyholders Fund

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM*						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	16,913	37,595	54,508	23.21%	-	54,508	54,015
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	25,434	56,534	81,967	34.91%	-	81,967	81,551
3	<b>Investment subject to Exposure Norms</b>		-	<b>47,429</b>	<b>1,05,425</b>	<b>1,52,854</b>	<b>65.09%</b>	<b>102.76</b>	<b>1,52,957</b>	<b>1,52,629</b>
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	9,409	20,915	30,324	12.91%	-	30,324	30,305
	2. Infrastructure Investments	Not less than 10%	-	21,249	47,233	68,482	29.16%	-	68,482	68,660
	3. Approved Investments	Not exceeding 55%	-	15,343	34,105	49,448	21.06%	102.76	49,550	49,502
	4. Other Investments (not exceeding 25%)	-	-	1,428	3,173	4,601	1.96%	-	4,601	4,162
<b>Total Investment Assets</b>		<b>100%</b>	<b>-</b>	<b>72,863</b>	<b>1,61,959</b>	<b>2,34,821</b>	<b>100.00%</b>	<b>102.76</b>	<b>2,34,924</b>	<b>2,34,180</b>

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name: \_\_\_\_\_

Designation: \_\_\_\_\_

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\*) Pattern of Investment will apply only to SH funds representing FRMS

(^ ) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)