

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: March 31, 2019

Name of the Fund :

UNIVERSAL SOMPO

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	7.95% PNB HFL - 18-Oct-2019	HTDN	1,000	29-Dec-17	INDIA RATINGS	AAA	AA+	14-Jan-19	No change in category
B.	<u>As on Date ²</u>								
1	7.95% PNB HFL - 18-Oct-2019	HTDN	1,000	29-Dec-17	INDIA RATINGS	AAA	AA+	14-Jan-19	No change in category
2	7.60% IL&FS - 07-Sep-2020 Option I	OLDB	1,000	07-Sep-17	CARE	AAA	D	17-Sep-18	Change in category
3	7.65% IL&FS - 26-Jul-2022	OLDB	1,000	26-Jul-17	ICRA	AAA	ICRA D	17-Sep-18	Change in category
4	7.85% IL&FS - 24-Oct-2019	OLDB	500	24-Oct-16	ICRA	AAA	ICRA D	17-Sep-18	Change in category
5	8.00% IL&FS - 06-Jun-2020	OLDB	1,000	06-Jun-17	ICRA	AAA	ICRA D	17-Sep-18	Change in category
6	7.60% IL&FS - 07-Sep-2020 Option I	OLDB	1,000	07-Sep-17	CARE	AAA	BB	09-Sep-18	Change in category
7	7.65% IL&FS - 26-Jul-2022	OLDB	1,000	26-Jul-17	ICRA	AAA	BB	09-Sep-18	Change in category
8	7.85% IL&FS - 24-Oct-2019	OLDB	500	24-Oct-16	ICRA	AAA	BB	09-Sep-18	Change in category
9	8.00% IL&FS - 06-Jun-2020	OLDB	1,000	06-Jun-17	ICRA	AAA	BB	09-Sep-18	Change in category
10	10.70% Sintex Industries Ltd. - 30-Sep-2021 P/C 30092019	OLDB	101	25-Oct-16	CARE	AA+	BBB	17-May-18	Change in category
11	10.70% Sintex Industries Ltd. - 30-Sep-2021 P/C 30092019	OLDB	101	25-Oct-16	CARE	AA+	A-	04-Jan-18	Change in category
12	8.60% Steel Authority of I - 19-Nov-2019	OBPT	501	16-Dec-09	CARE,INDIA RATINGS	AA	AA-	04-Aug-17	Change in category
13	8.80% SAIL 2019 - Series-XX-20[I Series(AG)]	OBPT	501	13-Jul-09	CRISIL,INDIA RATINGS	AA	AA-	04-Aug-17	Change in category
14	8.60% Steel Authority of I - 19-Nov-2019	EPBT	501	27-Nov-09	CARE	AA+	AA	31-Dec-16	No change in category
15	8.80% SAIL 2019 - Series-XX-20[I Series(AG)]	EPBT	502	13-Jul-09	CRISIL,CARE	AA+	AA	31-Dec-16	No change in category
16	8.60% Steel Authority of I - 19-Nov-2019	EPBT	501	27-Nov-09	CARE	AAA	AA+	16-Mar-16	No change in category
17	8.80% SAIL 2019 - Series-XX-20[I Series(AG)]	EPBT	502	13-Jul-09	CRISIL,CARE	AAA	AA+	16-Mar-16	No change in category
18	8.23% PNB HFL - 09-Apr-2019	HTDN	1,000	09-Oct-15	CARE,ICRA	AAA	AA+	01-Mar-16	No change in category

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature _____

Full Name and Designation

Date:

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04