

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: Sep 30, 2019

Name of the Fund :

UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Period				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	53,924.43	63,190.28	922.13	6.80	5.09	53,605.83	63,190.28	1,851.58	6.91	5.17	56,226.70	54,958.12	1,840.93	6.53	4.25
		CTRB	-	-	-	-	-	-	-	-	-	-	996.95	-	0.91	0.18	0.12
		CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		SGOA	-	-	-	-	-	-	-	-	-	99.93	99.74	3.46	6.91	4.50	
2	STATE GOVERNMENT/OTHER APPROVED SEC. / OTHER GUARANTEED SEC.	SGGB	28,839.44	29,175.56	672.57	9.28	6.94	29,302.29	29,175.56	1,253.32	8.55	6.40	23,037.66	22,535.69	859.89	7.44	4.84
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	27,221.73	28,895.34	561.60	8.21	6.14	27,391.06	28,895.34	1,130.28	8.25	6.18	29,514.77	32,139.15	1,161.85	7.85	5.11
	Bonds / Debentures issued by HUDCO	HTHD	4,194.50	7,621.50	69.50	7.78	5.82	4,194.50	7,621.50	69.50	7.78	5.82	-	-	-	-	-
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	104.55	14.96	1.70	6.46	4.83	110.57	14.96	1.70	3.07	2.30	188.43	107.39	0.21	0.22	0.14
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	61,032.28	69,841.46	1,224.28	7.98	5.97	57,828.20	69,841.46	2,298.44	7.95	5.95	32,545.99	35,925.72	1,234.67	7.57	4.92
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	11,422.58	11,566.86	239.53	8.34	6.24	11,422.90	11,566.86	476.57	8.34	6.24	11,425.49	11,193.39	476.99	8.33	5.42
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	3,804.06	3,504.13	76.33	7.98	5.97	4,539.32	3,504.13	182.87	8.06	6.03	8,292.83	5,876.89	338.62	8.14	5.30
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds - Tax Free Bond	IPFD	2,564.49	3,261.22	16.00	3.81	3.81	2,564.49	3,261.22	16.00	3.81	3.81	-	-	-	-	-
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	855.53	738.40	4.22	1.96	1.47	804.34	738.40	7.40	1.84	1.38	630.43	566.98	(2.90)	(0.92)	(0.60)
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	1,833.87	1,855.08	50.88	11.04	8.26	1,759.31	1,855.08	77.55	8.82	6.60	1,783.04	1,932.84	(17.69)	(1.98)	(1.29)
	Corporate Securities - Bond Taxable	EPBT	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - (Approved Investment) - Debentures	ECOS	28,981.10	35,504.93	594.23	8.16	6.10	31,893.80	35,504.93	1,312.58	8.23	6.16	42,477.49	40,060.47	1,690.62	7.94	5.16
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	-	-	-	-	-	1,619.57	959.04	67.14	8.27	5.38
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Deposit with Scheduled Banks	ECDB	550.00	550.00	5.02	4.98	3.73	825.56	550.00	9.74	4.74	3.55	1,575.00	-	0.69	0.09	0.06
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	3,007.37	3,005.42	63.68	8.42	6.30	3,007.38	3,005.42	126.71	8.43	6.31	3,007.47	2,869.58	126.75	8.41	5.47
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	5,193.25	9,135.90	103.88	8.32	6.23	6,094.78	9,135.90	244.00	8.57	6.41	8,414.43	665.44	318.76	7.56	4.92
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	1,002.10	1,010.78	22.24	8.83	6.61	1,002.11	1,010.78	44.26	8.83	6.61	1,002.13	965.04	47.06	9.37	6.09
6	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures	OLDB	3,075.44	3,292.22	(67.75)	(8.76)	(8.76)	3,075.49	3,292.22	(65.13)	(4.24)	(4.24)	540.56	3,011.52	23.13	8.53	5.55
	Bonds - PSU - Taxable	OBPT	500.07	500.58	10.77	8.57	6.41	724.18	500.58	31.40	8.67	6.49	1,001.04	992.17	43.14	8.60	5.59
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF Non Promoter Group	OETF	104.30	176.89	-	-	-	104.30	176.89	-	-	-	-	-	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	984.90	974.27	5.33	1.08	0.70
TOTAL			2,36,269.27	2,72,841.50	4,570.82	7.70	5.76	2,35,235.23	2,72,841.50	9,068.75	7.71	5.77	2,21,885.10	2,15,833.45	8,219.56	7.39	4.81

Date:

Signature:

Full name:

Designation:

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.