

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sampo General Insurance Company Limited; 134

Date : As on December 31,2019

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	69,761
	b. PolicyholdersFund	8A	2,26,898
2	Loans	9	-
3	Fixed Assets	10	3,856
4	Deferred Tax Liability		33
5	Current Assets		
	a. Cash & Bank Balance	11	12,980
	b. Advances & Other Assets	12	66,556
6	Current Liabilities		
	a. Current Liabilities	13	2,16,258
	b. Provisions	14	70,863
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
Application of Funds as per Balance Sheet (A)			92,962

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,856
3	Deferred Tax Liability		33
4	Cash & Bank Balance (if any)	11	12,980
5	Advances & Other Assets (if any)	12	66,556
6	Current Liabilities	13	2,16,258
7	Provisions	14	70,863
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-
TOTAL (B)			(2,03,697)
'Investment Assets' As per FORM 3B			2,96,659

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 176.37 Lakhs in Shareholders Fund & Rs 573.63 Lakhs in Policyholders Fund & for Sintex Industries Limited for Rs 15.29 Lakhs in Shareholders Fund & Rs 49.71 Lakhs in Policyholders Fund

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on December 31,2019

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	17,233	56,050	73,282	24.65%	-	73,282	73,538	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	25,759	83,781	1,09,540	36.85%	-	1,09,540	1,10,477	
3	Investment subject to Exposure Norms		-	44,148	1,43,591	1,87,738	63.15%	195.74	1,87,934	1,90,190	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	8,106	26,364	34,470	11.60%	-	34,470	34,887	
	2. Infrastructure Investments	Not less than 10%	-	23,946	77,883	1,01,829	34.25%	-	1,01,829	1,03,417	
	3. Approved Investments	Not exceeding 55%	-	11,341	36,887	48,228	16.22%	181.14	48,409	48,912	
	4. Other Investments (not exceeding 25%)		-	755	2,456	3,212	1.08%	14.60	3,226	2,974	
Total Investment Assets			100%	-	69,906	2,27,372	2,97,278	100.00%	195.74	2,97,474	3,00,667

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)