

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: December 31, 2019

Name of the Fund :

UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ In Lakhs)

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Period				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	66,706.49	73,537.55	1,294.04	7.72	5.77	57,988.60	73,537.55	3,145.63	7.22	5.40	57,418.41	61,881.99	2,880.13	6.66	4.33
		CTRB	-	-	-	-	-	-	-	-	-	-	14,761.67	32,835.80	44.33	5.48	3.57
		CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC. / OTHER GUARANTEED SEC.	SGOA	-	-	-	-	-	-	-	-	-	99.93	-	7.59	10.54	6.85	
		SGGB	35,655.96	36,939.54	736.18	8.21	6.15	31,427.88	36,939.54	1,989.50	8.43	6.30	23,304.83	25,960.99	1,305.54	7.44	4.84
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	28,988.35	26,956.58	608.06	8.34	6.24	27,925.43	26,956.58	1,738.34	8.28	6.20	30,833.95	36,353.36	1,842.42	7.93	5.16
	Bonds / Debentures issued by HUDCO	HTHD	7,554.60	7,670.24	138.03	7.27	5.44	6,012.91	7,670.24	207.53	7.43	5.56	2,827.83	2,001.82	3.53	5.70	3.71
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	15.38	14.30	-	-	-	78.73	14.30	1.70	2.87	2.15	164.42	113.23	0.21	0.17	0.11
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	83,201.94	85,076.31	1,775.27	8.49	6.35	66,316.87	85,076.31	4,073.71	8.18	6.12	35,663.40	54,419.94	2,055.13	7.65	4.98
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	11,421.93	11,584.30	239.30	8.33	6.24	11,422.57	11,584.30	715.87	8.34	6.24	11,425.16	11,316.81	716.79	8.33	5.42
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	3,476.27	3,506.29	69.31	7.93	5.93	4,183.68	3,506.29	252.17	8.02	6.00	7,532.30	5,973.26	461.29	8.13	5.29
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds - Tax Free Bond	IPFD	3,243.75	3,235.62	45.22	5.55	5.55	2,975.62	3,235.62	61.21	4.95	4.95	-	-	-	-	-
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	809.89	666.21	(2.32)	(1.14)	(1.14)	806.19	666.21	5.08	0.84	0.63	683.89	793.75	8.13	1.58	1.03
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	1,699.51	1,992.53	52.02	12.18	9.11	1,739.30	1,992.53	129.57	9.91	7.42	1,871.31	1,915.63	(1.76)	(0.13)	(0.08)
	Corporate Securities - Bond Taxable	EPBT	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - (Approved Investment) - Debentures	ECOS	36,503.07	38,518.62	727.67	7.93	5.93	33,435.81	38,518.62	2,040.25	8.12	6.08	41,738.69	40,879.75	2,313.46	7.36	4.79
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	-	-	-	-	1,403.11	980.64	86.20	8.15	5.31	
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Deposits - Deposit with Scheduled Banks	ECDB	1,079.63	1,100.00	11.06	4.63	3.47	945.21	1,100.00	20.80	4.68	3.50	6,807.50	-	14.83	4.42	2.87
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	3,007.35	3,011.19	63.56	8.41	6.29	3,007.37	3,011.19	190.27	8.42	6.30	3,007.46	2,927.02	190.47	8.41	5.47
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	6,423.57	2,871.82	114.68	7.43	5.56	6,206.49	2,871.82	358.68	8.17	6.11	9,068.43	18,890.88	511.04	7.53	4.90
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	1,002.10	1,011.75	22.24	8.83	6.61	1,002.10	1,011.75	66.50	8.83	6.61	1,002.13	985.18	69.36	9.19	5.98
6	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures	OLDB	2,688.37	2,848.31	(350.05)	(51.80)	(51.80)	2,945.96	2,848.31	(415.18)	(18.76)	(18.76)	1,564.28	3,082.79	(476.14)	(40.40)	(40.40)
	Bonds - PSU - Taxable	OBPT	500.02	-	5.74	8.57	6.41	676.83	-	37.14	8.66	6.48	1,000.92	998.56	64.83	8.60	5.59
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF Non Promoter Group)	OETF	132.36	125.81	5.55	16.69	12.49	121.29	125.81	5.55	11.02	-	-	-	-	-	-
	Commercial Papers	OACP	-	-	(472.91)	-	-	-	-	(472.91)	-	-	991.23	-	(460.69)	(212.05)	(212.05)
TOTAL			2,93,468.46	3,00,666.98	5,082.66	6.89	5.16	2,54,716.89	3,00,666.98	14,151.41	7.39	5.53	2,29,602.08	3,02,311.41	11,636.68	6.73	4.38

Date:

Signature:

Full name:

Designation:

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.