

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on March 31,2020

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	79,906
	b. PolicyholdersFund	8A	2,22,101
2	Loans	9	-
3	Fixed Assets	10	4,040
4	Deferred Tax Assets		116
5	Current Assets		
	a. Cash & Bank Balance	11	8,384
	b. Advances & Other Assets	12	58,221
6	Current Liabilities		
	a. Current Liabilities	13	1,93,971
	b. Provisions	14	78,949
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
Application of Funds as per Balance Sheet (A)			99,849

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	4,040
3	Deferred Tax Assets		116
4	Cash & Bank Balance (if any)	11	8,384
5	Advances & Other Assets (if any)	12	58,221
6	Current Liabilities	13	1,93,971
7	Provisions	14	78,949
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-
TOTAL (B)			(2,02,158)
'Investment Assets' As per FORM 3B			(A-B) 3,02,007

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 317.50 Lakhs in Shareholders Fund & Rs 882.50 Lakhs in Policyholders Fund & for Sintex Industries Limited for Rs 17.20Lakhs in Shareholders Fund & Rs 47.80 Lakhs in Policyholders Fund

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM*						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	18,886	52,493	71,378	2348.10%	-	71,378	72,664
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	28,756	79,927	1,08,682	3575.28%	-	1,08,682	1,11,097
3	Investment subject to Exposure Norms		-	51,673	1,43,627	1,95,300	6424.72%	(709.97)	1,94,590	1,97,769
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	8,985	24,974	33,960	1117.16%	-	33,960	34,306
	2. Infrastructure Investments	Not less than 10%	-	28,035	77,924	1,05,960	3485.72%	-	1,05,960	1,08,208
	3. Approved Investments	Not exceeding 55%	-	13,773	38,283	52,056	1712.48%	(664.39)	51,392	52,150
	4. Other Investments (not exceeding 25%)		-	880	2,445	3,325	109.37%	(45.58)	3,279	3,104
Total Investment Assets		100%	-	80,429	2,23,553	3,03,982	10000.00%	-709.97	3,03,272	3,08,866

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)