

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on June 30,2020

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	87,702
	b. PolicyholdersFund	8A	2,23,539
2	Loans	9	-
3	Fixed Assets	10	3,910
4	Deferred Tax Assets		291
5	Current Assets		
	a. Cash & Bank Balance	11	9,117
	b. Advances & Other Assets	12	59,600
6	Current Liabilities		
	a. Current Liabilities	13	2,02,546
	b. Provisions	14	76,714
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
Application of Funds as per Balance Sheet (A)			1,04,900

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,910
3	Deferred Tax Assets		291
4	Cash & Bank Balance (if any)	11	9,117
5	Advances & Other Assets (if any)	12	59,600
6	Current Liabilities	13	2,02,546
7	Provisions	14	76,714
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-
TOTAL (B)			(2,06,341)
'Investment Assets' As per FORM 3B			(A-B) 3,11,242

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 215.55 Lakhs in Shareholders Fund & Rs 584.55 Lakhs in Policyholders Fund & for Sintex Industries Limited for Rs 17.51Lakhs in Shareholders Fund & Rs 47.49 Lakhs in Policyholders Fund

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	20,044	54,348	74,392	23.81%	-	74,392	77,406	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	31,927	86,567	1,18,494	37.93%	-	1,18,494	1,23,745	
3	Investment subject to Exposure Norms		-	52,241	1,41,650	1,93,891	62.07%	(277.88)	1,93,613	2,01,370	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	9,729	26,381	36,110	11.56%	-	36,110	37,379	
	2. Infrastructure Investments	Not less than 10%	-	26,143	70,884	97,027	31.06%	-	97,027	1,01,808	
	3. Approved Investments	Not exceeding 55%	-	15,743	42,686	58,429	18.70%	(265.52)	58,164	59,978	
	4. Other Investments (not exceeding 25%)		-	626	1,698	2,325	0.74%	(12.36)	2,312	2,204	
Total Investment Assets			100%	-	84,168	2,28,217	3,12,385	100.00%	-277.88	3,12,107	3,25,115

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

([^]) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)