

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on Sep 30,2020

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	88,357
	b. PolicyholdersFund	8A	2,30,209
2	Loans	9	-
3	Fixed Assets	10	3,616
4	Deferred Tax Assets		100
5	<b>Current Assets</b>		
	a. Cash & Bank Balance	11	7,050
	b. Advances & Other Assets	12	1,08,929
6	<b>Current Liabilities</b>		
	a. Current Liabilities	13	2,52,986
	b. Provisions	14	77,150
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
<b>Application of Funds as per Balance Sheet (A)</b>			<b>1,08,125</b>

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,616
3	Deferred Tax Assets		100
4	Cash & Bank Balance (if any)	11	7,050
5	Advances & Other Assets (if any)	12	1,08,929
6	Current Liabilities	13	2,52,986
7	Provisions	14	77,150
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-
<b>TOTAL (B)</b>			<b>(2,10,441)</b>
<b>'Investment Assets' As per FORM 3B</b>			<b>(A-B) 3,18,566</b>

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 110.94 Lakhs in Shareholders Fund & Rs 289.06 Lakhs in Policyholders Fund & for Sintex Industries Limited for Rs 18.03 Lakhs in Shareholders Fund & Rs 46.97 Lakhs in Policyholders Fund

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							(c)
1	G. Sec.	Not less than 20%	-	22,801	59,406	82,207	25.74%	-	82,207	84,410	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	36,258	94,468	1,30,726	40.94%	-	1,30,726	1,34,506	
3	<b>Investment subject to Exposure Norms</b>		-	<b>52,315</b>	<b>1,36,304</b>	<b>1,88,618</b>	<b>59.06%</b>	<b>(313.39)</b>	<b>1,88,305</b>	<b>1,94,975</b>	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	10,452	27,231	37,683	11.80%	-	37,683	38,807	
	2. Infrastructure Investments	Not less than 10%	-	24,448	63,697	88,144	27.60%	-	88,144	92,089	
	3. Approved Investments	Not exceeding 55%	-	16,994	44,278	61,273	19.19%	(271.53)	61,001	62,701	
	4. Other Investments (not exceeding 25%)		-	421	1,097	1,518	0.48%	(41.86)	1,476	1,378	
<b>Total Investment Assets</b>			<b>100%</b>	<b>-</b>	<b>88,572</b>	<b>2,30,772</b>	<b>3,19,344</b>	<b>100.00%</b>	<b>-313.39</b>	<b>3,19,031</b>	<b>3,29,481</b>

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\* ) Pattern of Investment will apply only to SH funds representing FRMS

(^ ) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)