

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on December 31,2020

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	37,251
	b. PolicyholdersFund	8A	2,96,236
2	Loans	9	-
3	Fixed Assets	10	3,334
4	Deferred Tax Assets		94
5	Current Assets		
	a. Cash & Bank Balance	11	7,297
	b. Advances & Other Assets	12	1,38,103
6	Current Liabilities		
	a. Current Liabilities	13	3,01,437
	b. Provisions	14	69,197
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
Application of Funds as per Balance Sheet (A)			1,11,680

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,334
3	Deferred Tax Assets		94
4	Cash & Bank Balance (if any)	11	7,297
5	Advances & Other Assets (if any)	12	1,38,103
6	Current Liabilities	13	3,01,437
7	Provisions	14	69,197
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-
TOTAL (B)			(2,21,806)
'Investment Assets' As per FORM 3B			(A-B) 3,33,486

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 89.36 Lakhs in Shareholders Fund & Rs 710.64 Lakhs in Policyholders Fund & for Sintex Industries Limited for Rs 11.17 Lakhs in Shareholders Fund & Rs 88.83 Lakhs in Policyholders Fund

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on December 31,2020

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							(c)
1	G. Sec.	Not less than 20%	-	9,692	77,073	86,765	25.98%	-	86,765	89,828	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	15,464	1,22,981	1,38,445	41.46%	-	1,38,445	1,44,318	
3	Investment subject to Exposure Norms		-	21,839	1,73,673	1,95,511	58.54%	429.94	1,95,941	2,04,497	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	4,158	33,064	37,222	11.15%	83	37,305	38,791	
	2. Infrastructure Investments	Not less than 10%	-	9,087	72,263	81,350	24.36%	2	81,352	85,771	
	3. Approved Investments	Not exceeding 55%	-	8,443	67,146	75,589	22.63%	308.95	75,898	78,627	
	4. Other Investments (not exceeding 25%)		-	151	1,199	1,350	0.40%	35.73	1,386	1,309	
Total Investment Assets			100%	-	37,303	2,96,653	3,33,957	100.00%	429.94	3,34,387	3,48,815

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

([^]) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)