

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on March 31,2021

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(Rs in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	21,293
	b. PolicyholdersFund	8A	3,34,077
2	Loans	9	-
3	Fixed Assets	10	3,143
4	Deferred Tax Assets		109
5	<b>Current Assets</b>		
	a. Cash & Bank Balance	11	19,338
	b. Advances & Other Assets	12	94,904
6	<b>Current Liabilities</b>		
	a. Current Liabilities	13	3,06,183
	b. Provisions	14	64,912
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
<b>Application of Funds as per Balance Sheet (A)</b>			<b>1,01,768</b>

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,143
3	Deferred Tax Assets		109
4	Cash & Bank Balance (if any)	11	19,338
5	Advances & Other Assets (if any)	12	94,904
6	Current Liabilities	13	3,06,183
7	Provisions	14	64,912
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-
<b>TOTAL (B)</b>			<b>(2,53,602)</b>
<b>'Investment Assets' As per FORM 3B</b>			<b>3,55,370</b>

Note : Provision figures appearing in Investments are net of provision made for IL & FS Securities for Rs 47.93 Lakhs in Shareholders Fund & Rs 752.07 Lakhs in Policyholders Fund & for Sintex Industries Limited for Rs 5.99 Lakhs in Shareholders Fund & Rs 94.01 Lakhs in Policyholders Fund

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	5,491	86,154	91,646	25.74%	-	91,646	92,444	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	8,529	1,33,821	1,42,350	39.99%	-	1,42,350	1,44,631	
3	<b>Investment subject to Exposure Norms</b>		-	<b>12,801</b>	<b>2,00,846</b>	<b>2,13,647</b>	<b>60.01%</b>	<b>272.83</b>	<b>2,13,919</b>	<b>2,18,317</b>	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	2,460	38,591	41,051	11.53%	31	41,082	41,893	
	2. Infrastructure Investments	Not less than 10%	-	4,989	78,282	83,272	23.39%	3	83,275	86,004	
	3. Approved Investments	Not exceeding 55%	-	5,254	82,442	87,697	24.63%	179.73	87,876	89,647	
	4. Other Investments (not exceeding 25%)		-	98	1,530	1,627	0.46%	58.70	1,686	773	
<b>Total Investment Assets</b>			<b>100%</b>	<b>-</b>	<b>21,330</b>	<b>3,34,667</b>	<b>3,55,997</b>	<b>100.00%</b>	<b>272.83</b>	<b>3,56,270</b>	<b>3,62,948</b>

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\* ) Pattern of Investment will apply only to SH funds representing FRMS

(^ ) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)