

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- Unit No. 103, 1st Floor,
Ackruti Star, MIDC,
Andheri East
Mumbai City
Maharashtra
400022
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-------------------------------|
| Public Company | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(b) CIN of the Registrar and Transfer Agent

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K3	Life/Non-life Insurance and Reinsurance activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	400,000,000	368,181,820	368,181,820	368,181,820
Total amount of equity shares (in Rupees)	4,000,000,000	3,681,818,200	3,681,818,200	3,681,818,200

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	400,000,000	368,181,820	368,181,820	368,181,820
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	4,000,000,000	3,681,818,200	3,681,818,200	3,681,818,200

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	368,181,820	368,181,820	3,681,818,200	3,681,818,200	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	368,181,820	368181820	3,681,818,2	3,681,818,;	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		26/08/2021	
Date of registration of transfer (Date Month Year)		11/08/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		17	
Transferor's Name	Aggarwal		Sanjay
	Surname	middle name	first name
Ledger Folio of Transferee		25	

Transferee's Name	<input type="text" value="Bansal"/>	<input type="text"/>	<input type="text" value="Arun Kumar"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="11/08/2021"/>
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Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text" value="1"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
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Ledger Folio of Transferor	<input type="text" value="20"/>
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Transferor's Name	<input type="text" value="Sharma"/>	<input type="text" value="Chandra"/>	<input type="text" value="Prakash"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="26"/>
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Transferee's Name	<input type="text" value="Kumar"/>	<input type="text"/>	<input type="text" value="Satish"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
----------------------------	----------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

34,869,345,607

(ii) Net worth of the Company

11,287,554,965

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	193,590,910	52.58	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	127,443,183	34.61	0	
10.	Others Dabur Investment Corporation	47,147,727	12.81	0	
	Total	368,181,820	100	0	0

Total number of shareholders (promoters)

9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				

	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

9

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	4	0	9	0	0

B. Non-Promoter	1	3	1	3	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	6	0	0	0	0
(i) Banks & FIs	0	6	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	13	1	12	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

16

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SHANTI LAL JAIN	07692739	Nominee director	0	
SHARAD MATHUR	08754740	Managing Director	0	
SHARAD MATHUR	08754740	CEO	0	
MAHESH KUMAR BAJAJ	08080244	Nominee director	0	
PARTHAPRATIM SENGUPTA	08273324	Nominee director	0	
RAVICHANDRAN	08776311	Nominee director	0	
MOHIT BURMAN	00021963	Director	47,147,727	
POLALI JAYARAMA BHASKAR	00041500	Nominee director	0	
DANIEL NEO	08126163	Nominee director	0	
YASUHIRO SASANUMI	08088457	Nominee director	0	
BHASKAR JYOTISARMAN	05282550	Director	0	
CHHAYA MANOJ PALFOLKAR	06914875	Director	0	
GOPALASWAMY CHAKRABORTY	00019185	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
HIROKAZU YOKOMIZO	08443508	Nominee director	0	01/04/2022
NILESH MEJARI	AHKPM4445J	CFO	0	
AARTI KAMATH	AMNPK2417Q	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SHANTI LAL JAIN	07692739	Additional director	15/12/2021	Appointed as Additional Director (Non-Executive)
PADMAJA CHUNDURU	08058663	Nominee director	31/08/2021	Cessation
VISHWANATH VITTAL	07561455	Nominee director	31/03/2022	Cessation
RAM NATH	07579362	Director	09/05/2021	Cessation
BHASKAR JYOTI SARMAN	05282550	Additional director	11/05/2021	Appointed as Additional Director (Independent)
KUNIAKI TAKAHASHI	07173284	Alternate director	18/02/2022	Cessation
ADITYA VARDHAN TIBRE	03161165	Alternate director	14/03/2022	Cessation
BHASKAR JYOTI SARMAN	05282550	Director	26/08/2021	Appointment as Independent Director

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	26/08/2021	9	7	100
Extraordinary General Meeting	27/05/2021	9	6	87.19

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	21/04/2021	14	14	100
2	11/05/2021	14	14	100
3	04/08/2021	14	14	100
4	01/11/2021	13	13	100
5	03/02/2022	14	13	92.86
6	28/03/2022	14	12	85.71

C. COMMITTEE MEETINGS

Number of meetings held

27

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	02/04/2021	6	6	100
2	Audit Committee	09/04/2021	6	6	100
3	Audit Committee	10/05/2021	5	5	100
4	Audit Committee	24/06/2021	5	4	80
5	Audit Committee	22/07/2021	5	5	100
6	Audit Committee	25/10/2021	5	5	100
7	Audit Committee	25/01/2022	5	5	100
8	Audit Committee	23/03/2022	5	5	100
9	Investment Committee	10/05/2021	11	11	100
10	Investment Committee	22/07/2021	11	11	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 24/06/2022 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

1	SHANTI LAL J	2	2	100	0	0	0	Yes
2	SHARAD MAT	6	6	100	16	16	100	Yes
3	MAHESH KUM	6	6	100	16	16	100	Yes
4	PARTHAPRA	6	4	66.67	0	0	0	No
5	RAVICHANDE	6	5	83.33	9	9	100	Yes
6	MOHIT BURM	6	6	100	13	11	84.62	Yes
7	POLALI JAYA	6	6	100	7	7	100	Yes
8	DANIEL NEO	6	5	83.33	9	9	100	Yes
9	YASUHIRO S,	6	6	100	3	3	100	Yes
10	BHASKAR JY	5	5	100	9	9	100	Yes
11	CHHAYA MAN	6	6	100	16	16	100	Yes
12	GOPALASWA	6	6	100	22	22	100	Yes
13	HIROKAZU YC	6	6	100	15	15	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SHARAD MATHUR	MD	20,170,134	0	0	0	20,170,134
	Total		20,170,134	0	0	0	20,170,134

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Aarti Ganesh Kama	CS	3,575,158	0	0	0	3,575,158
2	Nilesh Mejari	CFO	9,494,996	0	0	0	9,494,996
3	SHARAD MATHUR	CEO	20,170,134	0	0	0	20,170,134
	Total		33,240,288	0	0	0	33,240,288

Number of other directors whose remuneration details to be entered

16

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Padmaja Chundurur	Nominee Director	0	0	0	250,000	250,000
2	Hirokazu Yokomizo	Nominee Director	0	0	0	1,050,000	1,050,000
3	Dr. Ram Nath	Independent Director	0	0	0	150,000	150,000
4	Mohit Burman	Nominee Director	0	0	0	850,000	850,000
5	Daniel Neo	Nominee Director	0	0	0	600,000	600,000
6	P. Jayarama Bhat	Nominee Director	0	0	0	650,000	650,000
7	Mahesh Kumar Bajaj	Nominee Director	0	0	0	1,100,000	1,100,000
8	Yasuhiro Sasanuma	Nominee Director	0	0	0	450,000	450,000
9	Shenoy Vishwanath	Nominee Director	0	0	0	700,000	700,000
10	N. Ravichandran	Nominee Director	0	0	0	700,000	700,000
11	Chhaya Palrecha	Independent Director	0	0	0	1,150,000	1,150,000
12	G. C. Rangan	Independent Director	0	0	0	1,450,000	1,450,000
13	Partha Pratim Sengupta	Nominee Director	0	0	0	200,000	200,000
14	Bhaskar Jyoti Sarmah	Independent Director	0	0	0	850,000	850,000
15	Shanti Lal Jain	Nominee Director	0	0	0	100,000	100,000
16	Kuniaki Takahashi	Alternate Director	0	0	0	150,000	150,000
	Total		0	0	0	10,400,000	10,400,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

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(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

SHARAD Mathur
Digitally signed by SHARAD MATHUR
Date: 2022.06.23
13:58:53 +05'30'

DIN of the director

To be digitally signed by

AARTI
KAMATH
Digitally signed by
AARTI KAMATH
Date: 2022.08.20
13:59:29 +05'30'

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Shareholders.pdf
Attendance of Committee Meeting.pdf
ISIN Note.pdf
Final _MGT-8_USGI_2021-22.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



**Shareholding Pattern of Universal Sampo General Insurance Company Limited as
on 31st March 2022**

Sr. No.	Name of Shareholders	No. of Equity Shares of Rs. 10/- each	Value in Rs.	Percentage
1.	Indian Bank	10,49,99,997	1,04,99,99,970	28.52%
2.	Sampo Japan Insurance Inc (formerly known as Sampo Japan Nipponkoa Insurance Inc)	12,74,43,183	1,27,44,31,830	34.61%
3.	Indian Overseas Bank	6,64,99,999	66,49,99,990	18.06%
4.	The Karnataka Bank Ltd	2,20,90,910	22,09,09,100	6.00%
5.	Mr. Mohit Burman, Partner – Dabur Investment Corporation	4,71,47,727	47,14,77,270	12.81%
6.	Mr. Avaya Kumar Mohapatra (Nominee Shareholder of Indian Bank)	1	10	0%
7.	Mr. Arun Kumar Bansal (Nominee Shareholder of Indian Bank)	1	10	0%
8.	Mr. Satish Kumar (Nominee Shareholder of Indian Bank)	1	10	0%
9.	Mr. N Ravichandran (Nominee Shareholder of IOB)	1	10	0%
	TOTAL	36,81,81,820	3,68,18,18,200	100%

For Universal Sampo General Insurance Company Limited

Aarti Kamath
Company Secretary
Membership No.: F6703
Date: 19.08.2022



Universal Sampo General Insurance Co. Ltd.

Registered & Corporate Office: Unit No 103, 1st Floor, Akruti Star, MIDC Central Road, Gautam Nagar, Andheri (E), Mumbai-400093, Maharashtra.

Tel: 022 41659800 / 41659900 Toll Free 1-800-22-4030 (MTNL/BSNL) / 1-800-200-4030 (Reliance)

Website: www.universalsampo.com Email: contactus@universalsampo.com. CIN# U66010MH2007PLC166770



**POINT IX (C) CONTINUED
ATTENDANCE OF COMMITTEE MEETINGS**

Sr.No.	Date of Meeting	Name of the Committee	Total No of Directors	Directors attended the meeting	% of Attendance
1	22/10/2021	Investment Committee	11	10	90.91
2	25/01/2022	Investment Committee	11	11	100
3	10/05/2021	Policyholders' Protection Committee	6	6	100
4	20/07/2021	Policyholders' Protection Committee	6	6	100
5	01/11/2021	Policyholders' Protection Committee	6	6	100
6	24/01/2022	Policyholders' Protection Committee	6	6	100
7	11/05/2021	Risk Management Committee	5	5	100
8	29/07/2021	Risk Management Committee	5	5	100
9	22/10/2021	Risk Management Committee	4	4	100
10	25/01/2022	Risk Management Committee	5	5	100
11	08/07/2021	Nomination and Remuneration Committee	4	4	100
12	13/12/2021	Nomination and Remuneration Committee	6	6	100
13	17/03/2022	Nomination and Remuneration Committee	6	6	100
14	22/07/2021	Corporate Social Responsibility Committee	5	5	100
15	27/01/2022	Corporate Social Responsibility Committee	6	6	100
16	25/03/2022	Corporate Social Responsibility Committee	6	6	100
17	28/01/2022	Reinsurance Committee	5	5	100

For UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LIMITED


Aarti Kamath
Company Secretary
Membership No.: F6703
Date: 19.08.2022



Universal Sompo General Insurance Co. Ltd.

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To,

**The Register of Companies,
Mumbai**

Sub: ISIN of the equity shares of the Company

Dear Sir,

Kindly note that we are unable to enter the ISIN number of the equity shares of the Company in the form. This is to inform that all the equity shares are in DEMAT form and the ISIN number is INE635M01010.

For UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LIMITED

**Aarti Kamath
Company Secretary
Membership No.: F6703
Date: 19.08.2022**



Universal Sampo General Insurance Co. Ltd.

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Website: www.universalsampo.com Email: contactus@universalsampo.com. CIN# U66010MH2007PLC166770



S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

10/25-26, 2nd Floor, Brindaban,
Thane (W) - 400 601
T: +91 22 25345648 | +91 22 25432704
E: snaco@snaco.net | W: www.snaco.net
ICSI Unique Code: P1991MH040400

Form No. MGT- 8

*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **Universal Somp General Insurance Company Limited** ("the Company") bearing CIN: U66010MH2007PLC166770 as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder for the financial year ended on **31st March, 2022**.

In our opinion and to the best of the information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefor;
 3. filing of forms and returns ~~as stated in the annual return~~, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court or other authorities~~ within/ beyond the prescribed time;
 4. calling/ convening/ holding meetings of Board of Directors or its Committees, ~~if any~~, and the meetings of the members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot, if any~~, have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed;

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S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

5. closure of Register of Members / Security holders, as the case may be - **Not applicable as there was no reportable event during the year under review;**
6. advances / loans to its Directors and/or persons or firms or companies referred in Section 185 of the Act - **Not applicable as there was no reportable event during the year under review;**
7. contracts/arrangements with related parties as specified in Section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities / redemption of preference shares or debentures/ alteration or reduction of share capital / conversion of shares / securities and issue of security certificates in all instances - **Not applicable as there was no reportable event during the year under review;**
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - **Not applicable as there was no reportable event during the year under review;**
10. ~~declaration / payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act -~~
11. signing of audited Financial Statement as per the provisions of Section 134 of the Act and report of Directors is as per sub - Sections (3), (4) and (5) thereof;
12. constitution of Board/ appointment/ re-appointments/ retirement/ ~~filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them~~ *except that the Audit Committee was not duly constituted for the first half year ended 30th September, 2021.as it did not have majority of Independent Directors in compliance with Section 177 of the Companies Act, 2013.The Audit Committee was subsequently reconstituted in compliance with the provisions of the Act.*
13. appointment/ reappointment / filling up casual vacancies of auditors as per the provisions of Section 139 of the Act - **Appointment of M/s S. K. Patodia & Associates, Chartered Accountants as the Joint Statutory Auditor for the Financial Year 2020-2021 to fill-up casual vacancy caused by the resignation of M/s. V.K.Jindal & Co., Chartered Accountants and appointment of M/s. Haribhakti & CO. LLP, Chartered Accountant for a period of 5 years and M/s S. K. Patodia & Associates, Chartered Accountants for 4 years commencing from FY 2021-22.**
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar of Companies, Court or such other authorities under the various provisions of the Act - **Not applicable as there was no reportable event during the year under review;**

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S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

15. acceptance/ renewal/ repayment of deposits- **Not applicable as there was no reportable event during the year under review;**
16. borrowings from its Directors, Members, Public Financial Institutions, Banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable - **Not applicable as there was no reportable event during the year under review;**
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act - **Not applicable as there was no reportable event during the year under review;**
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company - **Not applicable as there was no reportable event during the year under review;**

Note:

1. For the purpose of issuance of this Certificate, we have also relied on the Report issued by the Secretarial Auditor of the Company.
2. References in respect of matters not applicable to the Company during the aforesaid financial year, have been scrolled out.

For S. N. ANANTHASUBRAMANIAN & CO.

Company Secretaries

ICSI Unique Code: P1991MH040400

Peer Review Certf. No.: 606/2019

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Malati Kumar

Partner

ACS: 15508 | COP No.: 10980

ICSI UDIN: A015508D000818431

19th August, 2022 | Thane