

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: 30th September, 2013

Name of the Fund : UNIVERSAL SOMPO

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(` in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	NA	NA	NA	NA	NA	NA	NA	NA	NA
B.	<u>As on Date ²</u>								
	NA	NA	NA	NA	NA	NA	NA	NA	NA

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature _____

Full Name and Designation

Date:

Note:

- 1 Provide details of Down Graded Investments during the Quarter.*
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*
- 3 FORM-2 shall be prepared in respect of each fund.*
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04*