

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sampo General Insurance Company Limited; 134

Statement as on: 30th September, 2014

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	101,546.17
2	Loans	9	-
3	Fixed Assets	10	1,551.71
4	Deferred Tax Assets		1,022.27
5	<b>Current Assets</b>		-
	a. Cash & Bank Balance	11	1,150.66
	b. Advances & Other Assets	12	19,497.46
6	<b>Current Liabilities</b>		-
	a. Current Liabilities	13	70,191.88
	b. Provisions	14	29,297.71
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		19,046.43

Application of Funds as per Balance Sheet (A)

44,325.11

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,551.71
3	Deferred Tax Assets		1,022.27
4	Cash & Bank Balance (if any)	11	1,150.66
5	Advances & Other Assets (if any)	12	19,497.46
6	Current Liabilities	13	70,191.88
7	Provisions	14	29,297.71
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		19,046.43

TOTAL (B) (57,221.06)

'Investment Assets' As per FORM 3B

(A-B) 101,546.17

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM <sup>+</sup>							
			(a)	(b)							(c)
1	G. Sec.	Not less than 20%	-	11,014.15	23,640.64	34,654.79	34.21	-	34,654.79	33,348.43	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	11,014.15	27,534.45	38,548.60	38.05	-	38,548.60	37,212.32	
3	<b>Investment subject to Exposure Norms</b>		-	<b>13,938.89</b>	<b>48,814.56</b>	<b>62,753.45</b>	<b>61.95</b>	<b>244.12</b>	<b>62,997.57</b>	<b>62,898.33</b>	
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	-	3,490.72	11,091.20	14,581.92	14.39	-	14,581.92	14,602.58	
	2. Infrastructure Investments	Not less than 10%	-	4,985.29	15,515.63	20,500.92	20.24	-	20,500.92	20,405.19	
	3. Approved Investments	Not exceeding 55%	-	5,462.88	22,207.73	27,670.61	27.31	244.12	27,914.73	27,890.55	
	4. Other Investments (not exceeding 25%)	-	-	-	-	-	-	-	-	-	
<b>Total Investment Assets</b>			<b>100%</b>	<b>-</b>	<b>24,953.04</b>	<b>76,349.00</b>	<b>101,302.05</b>	<b>100.00</b>	<b>244.12</b>	<b>101,546.17</b>	<b>100,110.64</b>

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\*) Pattern of Investment will apply only to SH funds representing FRMS

(<sup>A</sup>) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)