

**FORM NL-37-DOWN GRADING OF INVESTMENT-2**

**Company Name & Code: Universal Sompo General Insurance Company Limited ; 134**

**Statement as on: 31st December 2015**

**Name of the Fund : UNIVERSAL SOMPO**

**Statement of Down Graded Investments**

**Periodicity of Submission: Quarterly**

**(₹ in Lakhs)**

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<b><u>During the Quarter <sup>1</sup></u></b>								
	NA	NA	NA	NA	NA	NA	NA	NA	NA
<b>B.</b>	<b><u>As on Date <sup>2</sup></u></b>								
	NA	NA	NA	NA	NA	NA	NA	NA	NA

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

**Signature** \_\_\_\_\_

**Full Name and Designation**

**Date:**

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.*
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*
- 3 FORM-2 shall be prepared in respect of each fund.*
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04*