

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on March 31, 2017

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	19,251
	b. PolicyholdersFund	8A	1,43,342
2	Loans	9	-
3	Fixed Assets	10	2,774
4	Deferred Tax Assets		168
5	Current Assets		-
	a. Cash & Bank Balance	11	8,633
	b. Advances & Other Assets	12	69,025
6	Current Liabilities		-
	a. Current Liabilities	13	1,72,680
	b. Provisions	14	35,188
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		8,224
Application of Funds as per Balance Sheet (A)			43,550

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,774
3	Deferred Tax Assets		168
4	Cash & Bank Balance (if any)	11	8,633
5	Advances & Other Assets (if any)	12	69,025
6	Current Liabilities	13	1,72,680
7	Provisions	14	35,188
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		8,224

TOTAL (B) (1,19,043)

'Investment Assets' As per FORM 3B

(A-B) 1,62,593

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on March 31, 2017

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM ⁺						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	6,314	47,010	53,323	33	-	53,323	537
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	6,857	51,059	57,916	36	-	57,916	584
3	Investment subject to Exposure Norms		-	12,397	92,310	1,04,707	64	(30)	1,04,677	1,057
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	2,447	18,216	20,663	13	-	20,663	209
	2. Infrastructure Investments	Not less than 10%	-	4,989	37,148	42,137	26	1	42,138	427
	3. Approved Investments	Not exceeding 55%	-	4,962	36,946	41,907	26	(31)	41,876	422
	4. Other Investments (not exceeding 25%)	-	-	-	-	-	-	-	-	-
Total Investment Assets		100%	-	19,255	1,43,369	1,62,623	100	(30)	1,62,593	1,642

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^A) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)