

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on June 30, 2017

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ In Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	14,732
	b. PolicyholdersFund	8A	136,022
2	Loans	9	-
3	Fixed Assets	10	3,110
4	Deferred Tax Assets		8
5	<b>Current Assets</b>		-
	a. Cash & Bank Balance	11	15,078
	b. Advances & Other Assets	12	56,878
6	<b>Current Liabilities</b>		-
	a. Current Liabilities	13	148,798
	b. Provisions	14	40,810
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		7,164
<b>Application of Funds as per Balance Sheet (A)</b>			<b>43,385</b>

	SCH	AMOUNT
<b>Less: Other Assets</b>		
1 Loans (if any)	9	-
2 Fixed Assets (if any)	10	3,110
3 Deferred Tax Assets		8
4 Cash & Bank Balance (if any)	11	15,078
5 Advances & Other Assets (if any)	12	56,878
6 Current Liabilities	13	148,798
7 Provisions	14	40,810
8 Misc. Exp not Written Off	15	-
9 Debit Balance of P&L A/c		7,164
<b>TOTAL (B)</b>		<b>(107,368)</b>
<b>'Investment Assets' As per FORM 3B</b>	<b>(A-B)</b>	<b>150,753</b>

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM <sup>+</sup>							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	4,572	42,223	46,795	0	-	46,795	47,382	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	5,268	48,648	53,916	0	-	53,916	54,719	
3	<b>Investment subject to Exposure Norms</b>		-	<b>9,480</b>	<b>87,552</b>	<b>97,033</b>	<b>64</b>	<b>(195)</b>	<b>96,838</b>	<b>98,051</b>	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	2,062	19,047	21,109	0	-	21,109	21,332	
	2. Infrastructure Investments	Not less than 10%	-	4,368	40,343	44,711	0	-	44,711	45,354	
	3. Approved Investments	Not exceeding 55%	-	3,049	28,163	31,213	0	(195)	31,018	31,364	
	4. Other Investments (not exceeding 25%)	-	-	-	-	-	-	-	-	-	
<b>Total Investment Assets</b>			<b>100%</b>	<b>-</b>	<b>14,748</b>	<b>136,200</b>	<b>150,948</b>	<b>64</b>	<b>(195)</b>	<b>150,753</b>	<b>152,770</b>

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name: \_\_\_\_\_

Designation: \_\_\_\_\_

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\*) Pattern of Investment will apply only to SH funds representing FRMS

(^A) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)