

## FORM NL-36-YIELD ON INVESTMENT

Company Name &amp; Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: June 30, 2017

Name of the Fund : UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Year				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	47,644.92	47,382.48	838.05	7.06	7.06	47,644.92	47,382.48	838.05	7.06	7.06	38,335.54	38,374.93	768.74	8.04	8.04
		CTRB	3,082.84	-	47.26	6.15	6.15	3,082.84	-	47.26	6.15	6.15	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARANTEED SEC.	CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		SGOA	399.67	-	8.31	8.34	8.34	399.67	-	8.31	8.34	8.34	399.62	411.51	8.31	8.34	8.34
		SGGB	5,540.90	6,910.18	99.58	7.21	7.21	5,540.90	6,910.18	99.58	7.21	7.21	3,776.08	4,186.56	83.26	8.84	8.84
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	21,017.48	21,332.34	425.99	8.13	8.13	21,017.48	21,332.34	425.99	8.13	8.13	14,484.98	14,673.43	318.38	8.82	8.82
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS																
		Infrastructure - PSU - Equity shares - Quoted	ITPE	349.50	442.32	-	-	-	349.50	442.32	-	-	-	324.86	552.18	(0.37)	(0.45)
	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	20,209.60	22,009.99	403.89	8.02	8.02	20,209.60	22,009.99	403.89	8.02	8.02	16,511.50	16,537.84	356.90	8.67	8.67
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	12,018.26	12,787.57	253.97	8.48	8.48	12,018.26	12,787.57	253.97	8.48	8.48	6,262.45	7,032.10	135.11	8.65	8.65
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	7,795.55	7,622.21	168.49	8.67	8.67	7,795.55	7,622.21	168.49	8.67	8.67	6,579.05	6,533.11	148.66	9.06	9.06
	Infrastructure - Other Corporate Securities - CPs	ICCP	2,402.78	2,491.83	65.01	10.85	10.85	2,402.78	2,491.83	65.01	10.85	10.85	941.17	951.35	20.59	8.77	8.77
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
		PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	266.23	487.03	24.74	37.27	37.27	266.23	487.03	24.74	37.27	37.27	122.10	-	13.80	45.33
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	2,018.11	1,987.73	7.84	1.56	1.56	2,018.11	1,987.73	7.84	1.56	1.56	3,316.61	3,045.54	62.71	7.58	7.58
	Corporate Securities - Bond Taxable	EPBT	1,002.11	1,021.66	21.45	8.59	8.59	1,002.11	1,021.66	21.45	8.59	8.59	1,503.98	1,517.60	32.02	8.54	8.54
	Corporate Securities - (Approved Investment) - Debentures	ECOS	22,147.97	22,069.93	497.43	9.01	9.01	22,147.97	22,069.93	497.43	9.01	9.01	10,083.03	11,357.43	234.20	9.32	9.32
	Commercial Papers - Approved Investment	ECCP	3,044.70	-	26.53	3.50	3.50	3,044.70	-	26.53	3.50	3.50	6,448.34	5,819.53	132.69	8.25	8.25
	Deposits - Deposit with Scheduled Banks	ECDB	4,962.56	3,824.89	97.26	7.86	7.86	4,962.56	3,824.89	97.26	7.86	7.86	3,645.97	4,279.00	76.33	8.40	8.40
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	1,410.16	1,973.11	28.82	8.20	8.20	1,410.16	1,973.11	28.82	8.20	8.20	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EAPS	5,851.38	-	127.67	8.75	8.75	5,851.38	-	127.67	8.75	8.75	1,325.93	1,153.32	29.18	8.83	8.83
6	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		Other Investments - Equity Shares (incl. Co - op Societies)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)	OESH	-	-	-	-	-	-	-	-	-	1,056.84	301.25	15.16	5.75	5.75	
<b>TOTAL</b>			<b>161,164.71</b>	<b>152,343.26</b>	<b>3,142.30</b>	<b>7.91%</b>	<b>7.91%</b>	<b>161,164.71</b>	<b>152,343.26</b>	<b>3,142.30</b>	<b>7.91%</b>	<b>1.95%</b>	<b>115,118.06</b>	<b>116,726.68</b>	<b>2,435.67</b>	<b>2.12%</b>	<b>2.12%</b>

Date:

Signature:

Full name:

Designation:

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.