

FORM NL-36-YIELD ON INVESTMENT

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: September 30, 2017

Name of the Fund : UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date					Previous Year					
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	45,390.17	44,330.00	1,305.34	11.41	11.41	46,511.39	44,330.00	2,143.39	9.19	9.19	39,455.43	38,374.93	1,684.65	8.52	8.52
		CTRB	-	-	-	-	-	3,896.36	-	47.26	2.42	2.42	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC/OTHER GUARANTEED SEC.	CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		SGOA	399.68	425.10	8.31	8.25	8.25	399.68	425.10	16.63	8.30	8.30	399.63	411.51	16.63	8.30	8.30
		SGGB	10,405.44	13,567.49	208.98	7.97	7.97	7,986.46	13,567.49	308.56	7.71	7.71	3,886.84	4,186.56	171.08	8.78	8.78
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	21,007.07	21,276.24	432.06	8.16	8.16	21,012.25	21,276.24	858.05	8.14	8.14	15,116.25	14,673.43	666.97	8.80	8.80
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS																
	Infrastructure - PSU - Equity shares - Quoted	ITPE	398.84	117.11	16.68	16.59	16.59	374.30	117.11	16.68	8.89	8.89	318.50	552.18	32.65	20.45	20.45
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	19,837.41	20,066.44	466.66	9.33	9.33	20,022.49	20,066.44	870.55	8.67	8.67	16,169.37	16,537.84	737.60	9.10	9.10
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	11,388.93	10,698.60	327.65	11.41	11.41	11,701.88	10,698.60	581.62	9.91	9.91	7,068.92	7,032.10	307.05	8.66	8.66
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	8,641.60	8,625.63	182.78	8.39	8.39	8,220.88	8,625.63	351.27	8.52	8.52	6,693.78	6,533.11	302.66	9.02	9.02
	Infrastructure - Other Corporate Securities - CPs	ICCP	2,494.19	-	4.72	0.75	0.75	2,768.13	-	69.73	5.02	5.02	951.58	951.35	41.41	8.68	8.68
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	409.80	672.68	24.82	24.03	24.03	338.41	672.68	49.56	29.21	29.21	122.10	-	13.80	22.54	22.54
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	2,049.25	1,758.65	27.19	5.26	5.26	2,033.77	1,758.65	35.03	3.44	3.44	2,712.05	3,045.54	161.31	11.86	11.86
	Corporate Securities - Bond Taxable	EPBT	1,001.96	-	7.31	2.89	2.89	1,002.09	-	28.76	5.72	5.72	1,498.36	1,517.60	71.18	9.48	9.48
	Corporate Securities - (Approved Investment) - Debentures	ECOS	24,785.83	27,845.16	573.98	9.19	9.19	23,474.10	27,845.16	1,071.41	9.10	9.10	11,755.60	11,357.43	543.68	9.22	9.22
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	5,227.70	-	26.53	1.01	1.01	5,811.77	5,819.53	238.98	8.20	8.20
	Deposits - Deposit with Scheduled Banks	ECDB	3,582.00	3,582.00	72.78	8.06	8.06	4,268.51	3,582.00	170.04	7.95	7.95	4,216.63	4,279.00	148.22	7.01	7.01
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	2,659.73	2,973.38	56.58	8.44	8.44	2,038.36	2,973.38	85.39	8.36	8.36	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EAPS	5,416.26	1,781.74	99.49	7.29	7.29	5,704.42	1,781.74	227.16	7.94	7.94	1,826.87	1,153.32	87.19	9.52	9.52
6	OTHER INVESTMENTS																
	Other Investments - Equity Shares (incl. Co - op Societies)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)	OESH	-	-	-	-	-	-	-	-	-	-	813.88	301.25	16.75	4.11	4.11
TOTAL			159,868.17	157,720.21	3,815.33	9.68%		166,981.16	157,720.21	6,957.63	16.90%	4.17%	118,817.56	116,726.68	5,241.78	4.41%	4.41%

Date:

Signature:

Full name:

Designation:

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.