

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: September 30, 2017

Name of the Fund :

UNIVERSAL SOMPO

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
1	10.70% Sintex Industries Ltd. - 30-Sep-2021 P\C 3009201	OLDB	1.01	25-Oct-2016	CARE	AA+	A	25-Jul-2017	0
B.	<u>As on Date ²</u>								
1	10.70% Sintex Industries Ltd. - 30-Sep-2021 P\C 3009201	OLDB	1.01	25-Oct-2016	CARE	AA+	A	25-Jul-2017	0
2	8.60% Steel Authority of I - 19-Nov-2019	OBPT	5.01	16-Dec-2009	RE,INDIA RATIN	AA	AA-	04-Aug-2017	0
3	8.80% SAIL 2019 - Series-XX-20[I Series(AG)]	OBPT	5.01	13-Jul-2009	SIL,INDIA RATIN	AA	AA-	04-Aug-2017	0
4	8.23% PNB HFL - 09-Apr-2019	HTDN	10.00	09-Oct-2015	CARE,ICRA	AAA	AA+	1-Mar-2016	0
5	8.6000% Steel Authority of I - 19-Nov-2019	EPBT	5.01	27-Nov-2009	CARE	AAA	AA+	16-Mar-2016	0

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature _____

Full Name and Designation

Date:

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04